

# Patterns of Motor and Non-Motor Features in Medication-Naïve Parkinsonism

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## Key Words

Parkinsonism · Non-motor features · SWEDD · DaTscan

## Abstract

**Background:** Parkinsonism is defined by motor features (tremor, bradykinesia, rigidity, and postural instability). Accompanying non-motor features (e.g. cognitive, autonomic, sleep disturbances) are underrecognized and undertreated. We hypothesized that clinical patterns occurring in early, medication-naïve Parkinsonism are distinguished by features such as tremor, sleep, autonomic, and cognitive dysfunction. **Methods:** Clinical and neuroimaging data were obtained in the Parkinson's Progression Marker Initiative. Group comparisons of Parkinsonism with dopaminergic deficits (PDD) (n = 388), controls (n = 196), and Parkinsonism with scans without evidence of dopaminergic deficits (n = 64) were done with ANOVA, chi-square, and post-hoc pairwise tests. To examine clinical patterns within the PDD group, k-means clustering was performed with non-motor or motor features, or both. **Results:** Among PDD, 4 non-motor patterns (% of PDD) (impulsive (14.9%), sleep-autonomic (22.9%), cognitive-olfactory (18.0%), and mild (44.1%)), 4 motor patterns (tremor plus bradykinesia (56.2%), tremor without bradykinesia (16.2%), postural instability (6.7%) and no tremor (20.9%)) and 5 combined motor/non-motor patterns (tremor with bradykinesia (42.3%), tremor without bra-

dykinesia (15.5%), no tremor and mild non-motor features (17.0%), postural instability with sleep-autonomic disturbances (6.7%) and oldest onset cognitive-olfactory (18.6%)) were observed. **Conclusions:** To our knowledge, this is the first description of non-motor clinical patterns in early, medication-naïve Parkinsonism, suggesting that such features are intrinsic to Parkinsonian disorders. © 2015 S. Karger AG, Basel

## Introduction

Parkinsonism is common in neurological outpatient clinics, with an incidence ranging from 0.5/1,000 person-years between the ages of 55 and 65 years, to 10.6/1,000 for those over 85 years of age [1]. As there is currently no diagnostic test for Parkinsonism, identification relies solely on clinical signs comprised of disturbances in characteristic motor features (e.g. tremor, bradykinesia, rigidity, gait instability), which are also the focus of treatment. Clinical and pathological evidence suggests that several idiopathic Parkinsonian disorders are multi-system, multi-organ diseases in which motor deficits are accompanied by non-motor features including cognitive, autonomic, psychiatric, and sleep disturbances [2]. Non-motor features in Parkinson's disease predate motor dysfunction by more than 20 years, and are linked to widespread

**Table 1.** Tests performed on all participants in PPMI

Clinical assessments	
Motor (higher score is worse)	Movement Disorders Society sponsored Unified Parkinson disease Rating Scale (MDS-UPDRS)
Neurobehavior (higher scores are worse)	Geriatric depression scale State-trait anxiety inventory Questionnaire for impulsive-compulsive disorders (screening; any 1 item is considered positive)
Cognitive testing (lower scores are worse)	Montreal Cognitive Assessment Hopkins verbal learning test – revised Benton judgment of line orientation Semantic fluency Letter number sequencing Symbol digit modalities test
Autonomic symptoms (higher score is worse)	Scales for Outcomes in Parkinson’s disease – Autonomic (SCOPA-AUT)
Sleep disorders (higher scores are worse)	Epworth sleepiness scale REM sleep disorder questionnaire
Olfactory testing (lower score is worse)	University of Pennsylvania Smell Identification Test (UPSIT)
<i>Imaging and biospecimen collection</i>	
Dopamine transporter imaging	[ <sup>123</sup> I]-FP-CIT single photon emission computerized tomography (DaTscan)

neuropathological changes throughout the nervous system [3]. Although non-motor features often have greater impact on healthcare costs, quality of life, and institutionalization rates than motor features, they are underrecognized [4, 5]. Yet several non-motor features are treatable.

We used data collected by the Parkinson’s Progression Marker Initiative (PPMI) to test our hypothesis that specific patterns of non-motor features occur in patients with early idiopathic Parkinsonism who have never received anti-Parkinsonian medication. We sought to characterize clinical patterns of early Parkinsonism integrating non-motor and motor features because recognizing these patterns early in the course of Parkinsonism would facilitate development of more comprehensive early treatment strategies and inform concepts of pathogenesis. As deficits in dopamine neurotransmission underlie most idiopathic Parkinsonism, we also examined dopaminergic deficits in participants using data collected from neuroimaging, which labeled dopamine transporters in the striatum. The status of striatal dopamine transporters led to 2 main groups of Parkinsonism: Parkinsonism with scans without evidence of dopaminergic deficits (SWEDD’s) and those with Parkinsonism with dopaminergic deficits (PDD).

## Materials and Methods

### Study Population

The PPMI is an ongoing observational multicenter cohort study designed to identify Parkinson disease progression markers comprised of three groups: PDD (n = 388), controls (n = 196), and SWEDD’s (n = 64). Details are published elsewhere and available at <http://www.ppmi-info.org/> [6]. The study was approved by the institutional review board of all participating sites. Written informed consent was obtained from all participants. Healthy control subjects had no significant neurological dysfunction, no first-degree relative with Parkinson disease, a Montreal Cognitive Assessment >26, and no detectable dopamine transporter deficit on neuroimaging (DaTscan, methods detailed later in this article). Participants with Parkinsonism were diagnosed less than 2 years prior to the screening visit, untreated, and required to have an asymmetric resting tremor or asymmetric bradykinesia or 2 of bradykinesia, resting tremor and rigidity. Patients with clinical findings consistent with early stage Parkinsonism, no history of secondary causes of Parkinsonism and a dopamine transporter deficit on DaTscan imaging constituted the PDD group, and those without evidence of dopaminergic deficit comprised the SWEDD group. All participants underwent tests summarized in table 1. We used data from the earliest time available (screening, baseline or first follow-up visit) downloaded from the PPMI database (download dates were July 9, 2013 for clinical assessments and September 10, 2013 for imaging).

### Assessment of Clinical Features

Demographic and historical information on all participants were obtained including age, sex, years of education, age of onset of first symptoms of Parkinson's disease, motor features present at diagnosis, and date of diagnosis. Parkinsonism was assessed by the Movement Disorder Society sponsored Unified Parkinson Disease Rating Scale (MDS-UPDRS). Similar to other studies [7, 8], motor features were summarized by taking the average score of MDS-UPDRS individual items for postural instability/gait (sum of items (3.10 through 3.14)/4), hypokinesia/rigidity (sum of items (3.1 through 3.9 + 3.14)/9), and tremor (sum of items (3.15 through 3.18)/10). Non-motor features were measured by the sum of MDS-UPDRS Part 1 and specific assessments of olfaction, cognition, sleep, autonomic, and psychiatric features are summarized in table 1.

### Neuroimaging

All subjects underwent dopamine transporter imaging by DaTscan (an intravenous injection of [<sup>123</sup>I]-FP-CIT containing activity in the range of 111–185 MBq over 15–20 s followed by single photon emission computerized tomography imaging done 3–6 h later) [9]. Details are available on the PPMI website at <http://www.ppmi-info.org/>. Regions of interest were placed on the left and right caudate, the left and right putamen, and the occipital cortex (reference tissue). Count densities for each region were used to calculate striatal binding ratios (SBRs) for each of the four striatal regions ( $SBR = (\text{target region/reference region}) - 1$ ).

### Statistical Analyses

Descriptive statistics, ANOVA F tests, t tests, and chi-square tests summarized baseline characteristics and group comparisons. Three k-means cluster analyses were performed among PDD participants: one based on 14 non-motor variables, 1 based on 7 motor variables, and 1 based on both non-motor and motor variables. We included 388 of the 423 PDD participants without any missing values for clustering. Variables were standardized before clustering so that each had a mean 0 and standard deviation 1. For binary variables, we assigned values 0 or 1 and treated them in the same way as continuous variables. As we standardized all variables before clustering so that each variable has mean 0 and standard deviation 1, these values (0 and 1) might have changed. To empirically determine the number of clusters, we compared the sum of squared error (SSE) for a number of cluster solutions [10]. SSE is the sum of the squared distance between each member of a cluster and its cluster centroid. We looked for a point with a sudden drop of SSE to find the number of clusters. Also, we produced 250 randomized versions of the original input data by randomly scrambling all entries of the data matrix, and calculated SSE against cluster solutions for the randomized data. If a data set has strong clusters, the SSE of the actual data should decrease more quickly than the random data as the number of clusters increase. We also looked at the Gap statistic as another measure for estimating the number of clusters [11]. In this way, we chose 4, 4, and 5 clusters for clustering based on non-motor, motor, and combined variables, respectively. We then compared the resulting clusters with ANOVA F test and chi-square test for continuous and binary variables, respectively. For variables that were significantly different across clusters ( $p \leq 0.05$ ), we performed post-hoc pairwise analysis using ANOVA with a Tukey adjustment. We labeled clinical patterns using descriptors based on variables that

were significantly different among clusters. For example, if cognitive and olfactory testing were significantly worse in a particular cluster, that cluster was labeled cognitive-olfactory (SAS version 9.3 (2012) [12] was used to prepare downloaded datasets then analyzed by R version 3.0.1 (2013) [13]).

## Results

Group comparisons are in table 2. Compared to controls, PDD dopamine transporter imaging SBRs and olfactory function (University of Pennsylvania Smell Inventory (UPSIT) scores) were lower and scores for posture/gait, scores for hypokinesia/rigidity and tremor abnormalities were higher. SWEDDs scored the highest in severity of non-motor features for the MDS-UPDRS Part 1, Scale for Outcome of Parkinson Disease – Autonomic (SCOPA-AUT), and Epworth Sleepiness Scale and had the highest proportion of individuals with impulsive/compulsive behaviors. Controls performed best in most cognitive tests.

Clustering using non-motor features yielded four patterns in the PDD group (table 3): (1) Impulsive: presence of impulsive/compulsive behaviors; (2) Sleep-autonomic: most severe non-motor (MDS-UPDRS Part 1), autonomic (SCOPA-AUT) and REM sleep disorder symptoms; (3) Cognitive-olfactory: performed worst on all cognitive tests and had low UPSIT scores; and (4) Mild: no impulsive/compulsive behaviors and the best UPSIT performance. This 4 cluster solution accounted for 24.7% of the variance.

Clustering using motor features also yielded 4 patterns in the PDD group (table 4): (1) Tremor plus bradykinesia: tremor and bradykinesia at the time of diagnosis; (2) Tremor without bradykinesia: tremor and no bradykinesia at the time of diagnosis; (3) Postural instability: postural instability at the time of diagnosis and the highest posture/gait scores at baseline; and (4) No tremor: no tremor at the time of diagnosis. This 4 cluster solution accounted for 47.0% of the variance.

When we used both non-motor and motor features for clustering, 5 patterns emerged in the PDD group (table 5): (1) tremor plus bradykinesia and (2) tremor without bradykinesia were characterized as described earlier; (3) no tremor and mild non-motor symptoms (no tremor-mild): no tremor at diagnosis and lower severity for several non-motor features; (4) postural instability with sleep and autonomic features: postural instability at the time of diagnosis and the most severe sleep and autonomic symptoms; and (5) oldest onset cognitive-olfactory: the

**Table 2.** Group comparisons

Group	Controls (n = 196)	PDD (n = 388)	SWEDD (n = 64)
<i>Characteristics</i>			
Age at baseline, years	60.8±11.2	61.5±9.79	61.0±10.0
Age of onset, years		59.6±10.0	58.8±10.5
Sex (women), n	70 (35.7)	132 (34.0)	24 (37.5)
Time from first symptom to baseline visit, months		22.7±23.7	23.5±27.5
Time from first symptom to diagnosis, months		17.1±22.2	18.6±26.3
Total years of education	16.0±2.89	15.6±3.00	15.1±3.87
<i>Non-motor measures</i>			
MDS non-motor Pt 1 <sup>1,2</sup>	2.92±2.97	5.55±4.05	8.25±6.47
UPSIT <sup>1,2</sup>	34.0±4.85	22.3±8.28	31.4±6.23
SCOPA-AUT <sup>1,2</sup>	8.88±7.43	13.4±9.55	17.2±12.2
<i>Cognitive measures</i>			
Benton line judgment	13.1±1.98	12.8±2.12	12.8±2.38
Hopkins verbal learning <sup>2</sup>	15.6±2.29	14.7±2.59	14.4±2.55
Letter number sequence <sup>3</sup>	10.9±2.57	10.6±2.66	9.88±2.66
Montreal Cognitive Assessment battery <sup>2</sup>	28.2±1.11	27.2±2.33	27.1±2.44
Semantic fluency <sup>2</sup>	51.8±11.2	48.9±11.7	45.2±12.4
Symbol digit <sup>2</sup>	46.8±10.5	41.3±9.87	41.2±11.9
<i>Sleep-related measures</i>			
REM <sup>2</sup>	2.85±2.26	4.13±2.68	4.55±2.86
Epworth sleepiness <sup>1</sup>	5.64±3.42	5.83±3.46	8.08±4.80
<i>Psychiatric measures</i>			
Presence of impulsive/compulsive behaviors <sup>1</sup>	36 (18.7)	77 (19.8)	21 (32.8)
Depression	5.18±1.38	5.27±1.45	5.64±1.71
Anxiety state and trait	47.0±3.50	46.6±3.88	46.6±3.83
<i>Motor measures</i>			
Posture/gait score <sup>1,2</sup>	0.04±0.10	0.34±0.28	0.21±0.31
Hypokinesia/rigidity score <sup>1,2</sup>	0.04±0.08	0.80±0.41	0.48±0.43
Tremor score <sup>2</sup>	0.03±0.08	0.43±0.31	0.44±0.29
<i>Motor feature present at dx, n (% of group)</i>			
Tremor	0	301 (77.6)	53 (84.1)
Rigidity <sup>4</sup>	0	298 (76.8)	37 (58.7)
Bradykinesia	0	321 (82.7)	51 (81.0)
Postural instability	0	26 (6.70)	8 (12.9)
<i>Asymmetry of motor features<sup>4</sup></i>			
Left side more affected	0	166 (42.8)	15 (23.4)
Right side more affected	0	212 (54.6)	44 (68.8)
Both sides equally affected	0	10 (2.58)	5 (7.81)
NHY (Hoehn and Yahr stage) <sup>2</sup>	0	1.55±0.51	1.42±0.50
<i>Neuroimaging (DaTscan)</i>			
Right caudate <sup>4,5</sup>	2.98±0.62	1.99±0.58	2.81±0.60
Left caudate <sup>4,5</sup>	3.03±0.64	1.99±0.57	2.82±0.58
Right putamen <sup>4,5</sup>	2.17±0.61	0.86±0.37	2.07±0.52
Left putamen <sup>4,5</sup>	2.16±0.59	0.83±0.36	2.03±0.52

Results are given as raw scores ± SD, values in parentheses are percentages.

<sup>1</sup> SWEDD group is significantly different from control and PDD groups.

<sup>2</sup> Control group is significantly different from SWEDD and PDD groups.

<sup>3</sup> SWEDD group is significantly different from control group but not from PDD group.

<sup>4</sup> PDD group is significantly different from SWEDD group but not controls.

<sup>5</sup> Control group is significantly different from PDD group but not SWEDD group.

**Table 3.** Results of clustering using only non-motor features

Cluster description	Impulsive	Cognitive-olfactory	Mild	Sleep-autonomic
Total, n	58	70	171	89
Percent of all PDD participants	14.9	18.0	44.1	22.9
<i>Non-motor measures used for clustering</i>				
MDS non-motor Pt 1 <sup>1,2</sup>	6.60±3.44	4.20±2.25	3.52±2.46	9.82±4.51
UPSIT <sup>3,4</sup>	22.0±7.81	17.4±7.18	25.7±7.42	19.9±8.24
SCOPA-AUT (high is worse) <sup>1,2</sup>	15.3±8.91	11.4±7.78	9.3±6.02	21.5±11.30
<i>Cognitive measures</i>				
Benton line judgment <sup>5,6</sup>	13.3±1.62	10.6±2.34	13.6±1.54	12.7±2.05
Hopkins verbal learning <sup>2,5</sup>	15.5±2.23	12.0±2.36	15.7±2.01	14.2±2.42
Letter number sequence <sup>2,5</sup>	11.5±2.70	7.93±2.11	11.6±2.38	10.3±1.94
Montreal Cognitive Assessment battery <sup>5,6</sup>	27.4±2.11	25.4±2.90	28.0±1.64	26.8±2.27
Semantic fluency <sup>2,5</sup>	52.3±10.68	38.9±8.01	53.6±7.55	45.6±8.20
Symbol digit <sup>2,5</sup>	46.0±10.37	31.3±8.01	45.2±7.55	38.7±8.20
<i>Sleep-related measures</i>				
REM <sup>2,7</sup>	3.84±2.49	4.26±2.80	3.01±1.79	6.38±2.77
Epworth sleepiness <sup>6</sup>	6.28±3.36	5.67±3.37	5.17±3.18	6.93±3.84
<i>Psychiatric measures</i>				
Presence of impulsive/compulsive behaviors*	58 (100)	9 (12.9)	0 (0)	10 (11.2)
Depression <sup>8</sup>	5.02±1.61	5.64±1.57	5.04±1.30	5.60±1.43
Anxiety state and trait <sup>2</sup>	47.2±3.70	47.9±3.93	46.9±3.60	44.7±3.87
<i>Motor measures</i>				
Posture/gait score <sup>9</sup>	0.28±0.23	0.37±0.28	0.28±0.28	0.45±0.28
Hypokinesia/rigidity score <sup>9</sup>	0.70±0.30	0.86±0.49	0.75±0.39	0.92±0.41
Tremor score	0.42±0.27	0.51±0.33	0.39±0.32	0.44±0.30
<i>Motor feature present at dx, n</i>				
Tremor	46 (79.3)	56 (80.0)	131 (76.6)	68 (22.9)
Rigidity	43 (74.1)	54 (77.1)	129 (75.4)	72 (18.6)
Bradykinesia	51 (87.9)	58 (82.9)	140 (81.8)	72 (18.6)
Postural instability*	2 (3.4)	1 (1.4)	10 (5.8)	13 (14.6)
Left side affected	23 (39.7)	31 (44.3)	77 (45.0)	35 (39.3)
Right side affected	35 (60.3)	39 (55.7)	89 (52.0)	49 (55.1)
Both sides affected	0 (0)	0 (0)	5 (2.9)	5 (5.6)
NHY (Hoehn and Yahr stage)	1.53±0.50	1.60±0.49	1.49±0.52	1.65±0.48
<i>Other characteristics</i>				
Age onset, years <sup>9,10</sup>	56.5±10.6	66.2±7.62	56.5±9.65	62.4±8.84
Sex (women), n	24 (41.4)	17 (24.3)	64 (37.4)	27 (30.3)
Time from first symptom to baseline visit, months	27.4±43.7	17.9±14.9	21.8±17.3	25.2±21.2
Time from first symptom to diagnosis, months	21.4±39.8	13.2±14.6	16.5±16.6	18.5±20.5
Total years of education <sup>5</sup>	15.7±2.58	14.0±3.33	16.1±2.89	15.7±2.83
<i>Neuroimaging (DaTscan)</i>				
Right caudate <sup>11</sup>	2.18±0.63	1.89±0.61	2.04±0.51	1.85±0.59
Left caudate	2.11±0.59	1.89±0.55	2.03±0.53	1.94±0.63
Right putamen <sup>11</sup>	0.98±0.43	0.80±0.34	0.88±0.35	0.79±0.38
Left putamen	0.86±0.37	0.79±0.33	0.84±0.34	0.81±0.40

Results are given as raw scores ± SD, values in parentheses are percentages of cluster, and significant differences are  $p < 0.05$  after Tukey adjustment. \*  $p < 0.05$ : significantly different by chi-square.

<sup>1</sup> Impulsive group significantly different from all other groups.

<sup>2</sup> Sleep/autonomic group significantly different from all other groups.

<sup>3</sup> Mild significantly different from all other groups.

<sup>4</sup> Impulsive group significantly different from olfactory/cognition group.

<sup>5</sup> Cognitive-olfactory group significantly different from all other groups.

<sup>6</sup> Sleep/autonomic group significantly different from mild group.

<sup>7</sup> Mild group significantly different from cognitive-olfactory group.

<sup>8</sup> Mild group significantly different from sleep/autonomic and cognitive-olfactory group.

<sup>9</sup> Sleep/autonomic group significantly different from impulsive and mild groups.

<sup>10</sup> Cognitive-olfactory group significantly different from impulsive and mild groups.

<sup>11</sup> Impulsive group significantly different from sleep/autonomic and cognitive-olfactory group.

**Table 4.** Results of clustering using only motor features

Cluster description	Tremor with bradykinesia	Tremor-no-bradykinesia	Postural instability	No tremor
Total (n = 388)	218	63	26	81
Percent of all PDD participants	56.2	16.2	6.7	20.9
<i>Motor characteristics used for clustering</i>				
Motor feature present at dx, n				
Tremor*	218 (100)	62 (98.4)	21 (80.8)	0 (0)
Rigidity*	177 (82.2)	26 (41.3)	24 (92.3)	71 (87.7)
Bradykinesia*	218 (100)	0 (0)	24 (92.3)	79 (97.5)
Postural instability*	0 (0)	0 (0)	26 (100)	0 (0)
Posture/gait score <sup>1</sup>	0.32±0.27	0.29±0.27	0.55±0.34	0.34±0.29
Hypokinesia/rigidity score <sup>2</sup>	0.80±0.39	0.72±0.43	0.98±0.47	0.82±0.40
Tremor score <sup>3</sup>	0.49±0.31	0.58±0.28	0.45±0.22	0.15±0.17
<i>Non-motor characteristics</i>				
MDS non-motor Pt 1 <sup>1</sup>	5.53±3.91	5.14±4.43	8.12±4.76	5.09±3.64
UPSIT	22.7±7.84	22.0±8.29	21.9±8.73	21.8±9.34
SCOPA-AUT <sup>4</sup>	13.9±10.3	11.8±8.85	18.0±9.05	11.7±7.44
Cognitive measures				
Benton line judgment	12.8±2.11	12.4±2.32	13.0±1.80	12.9±2.11
Hopkins verbal learning	14.7±2.60	14.6±2.58	14.3±2.59	14.7±2.63
Letter number sequence	10.6±2.64	10.5±2.68	10.4±2.63	10.8±2.75
Montreal Cognitive Assessment battery <sup>2</sup>	27.2±2.42	26.6±2.39	28.0±1.62	27.4±2.13
Semantic fluency	49.0±11.3	48.2±11.9	49.2±10.5	49.0±13.2
Symbol digit	40.6±10.26	43.0±8.51	41.2±8.57	42.1±10.1
Sleep-related measures				
REM <sup>1</sup>	4.12±2.61	3.76±2.35	5.57±3.37	3.99±2.76
Epworth sleepiness	5.70±3.38	5.51±3.61	7.54±3.57	5.89±3.44
Psychiatric measures				
Presence of impulsive/compulsive behaviors	48 (22.0)	9 (14.3)	7 (26.9)	13 (16.0)
Depression	5.25±1.51	5.41±1.12	5.69±1.44	5.09±1.52
Anxiety state and trait <sup>5</sup>	46.9±3.79	47.0±3.83	46.6±3.35	45.5±4.16
<i>Other characteristics</i>				
NHY (Hoehn and Yahr stage)	1.57±0.50	1.48±0.53	1.69±0.47	1.52±0.50
Age onset, years	59.6±9.93	61.3±9.95	60.0±9.83	58.2±10.5
Sex, n	72 (33.0)	25 (39.7)	7 (26.9)	26 (32.1)
Time from first symptom to baseline visit, months	23.9±27.6	22.6±17.8	28.7±23.1	17.9±14.3
Time from first symptom to diagnosis, months	18.3±25.8	15.6±16.9	21.5±23.2	13.8±13.3
Side affected at time of diagnosis				
Left side affected at diagnosis	97 (44.5)	19 (30.2)	12 (46.2)	38 (46.9)
Right side affected at diagnosis	117 (53.7)	43 (68.3)	12 (46.2)	40 (49.4)
Both sides affected at diagnosis	4 (1.8)	1 (1.6)	2 (7.7)	3 (3.8)
Total years of education	15.6±3.02	15.7±3.00	16.1±2.32	15.0±3.13
<i>Neuroimaging (DaTscan)</i>				
Right caudate	1.99±0.54	2.11±0.58	1.87±0.65	1.93±0.61
Left caudate	2.01±0.55	2.14±0.59	1.94±0.60	1.88±0.57
Right putamen	0.86±0.39	0.96±0.36	0.76±0.34	0.82±0.31
Left putamen	0.85±0.38	0.85±0.32	0.74±0.22	0.78±0.35

Results are given as raw scores ± SD, values in parentheses are percentages of cluster, and significant differences are  $p < 0.05$  after Tukey adjustment. \*  $p < 0.05$ : significantly different by chi-square.

<sup>1</sup> Postural instability significantly different from all other groups.

<sup>2</sup> Postural instability significantly different from tremor-no-bradykinesia.

<sup>3</sup> No tremor significantly different from all other groups.

<sup>4</sup> Postural instability significantly different from tremor-no-bradykinesia and no-tremor groups.

<sup>5</sup> No tremor significantly different from tremor-no-bradykinesia.

**Table 5.** Result of clustering using both non-motor and motor features

Cluster description	Tremor with bradykinesia	Tremor-no-bradykinesia	No-tremor and mild	PISA	OCO
Total (n = 388)	164	60	66	26	72
Percent of entire sample	42.3	15.5	17.0	6.7	18.6
<i>Non-motor and motor measures used for clustering</i>					
MDS non-motor Pt 1 <sup>1,3</sup>	5.37±3.94	5.25±4.52	4.88±3.73	8.12±4.76	5.89±3.62
UPSIT <sup>5</sup>	24.0±7.42	22.5±8.14	23.9±8.84	21.9±8.73	17.0±7.50
SCOPA-AUT	13.4±10.1	12.1±8.96	10.7±6.90	18.0±9.05	14.9±10.2
<i>Cognitive measures</i>					
Benton line judgment	13.4±1.64	12.5±2.33	13.4±1.73	13.0±1.80	11.0±2.34
Hopkins verbal learning <sup>2</sup>	15.1±2.10	14.8±2.41	15.3±2.17	14.3±2.59	12.0±2.35
Letter number sequence <sup>2</sup>	11.4±2.31	10.8±2.51	11.5±2.35	10.4±2.63	7.9±2.03
Montreal Cognitive Assessment battery <sup>2,4</sup>	27.7±1.88	26.8±2.27	27.8±1.77	28.0±1.62	25.5±2.98
Semantic fluency <sup>2</sup>	51.6±10.6	49.1±11.4	52.4±11.9	49.2±10.5	39.4±9.55
Symbol digit <sup>2</sup>	44.0±8.63	44.0±7.04	44.9±8.50	41.2±8.57	29.9±7.68
<i>Sleep-related measures</i>					
REM <sup>1,5</sup>	3.73±2.40	3.75±2.40	3.62±2.44	5.57±3.37	5.34±2.97
Epworth sleepiness <sup>6</sup>	5.64±3.27	5.48±3.65	5.42±3.00	7.54±3.57	6.31±3.93
<i>Psychiatric measures</i>					
Presence of impulsive/compulsive behaviors	36 (21.9)	9 (15.0)	10 (15.2)	7 (26.9)	15 (20.8)
Depression	5.14±1.42	5.47±1.11	5.14±1.57	5.69±1.44	5.38±1.64
Anxiety state and trait	46.8±3.84	46.9±3.90	45.6±3.74	46.6±3.35	46.9±4.23
<i>Motor measures</i>					
Posture/gait score <sup>1,5</sup>	0.29±0.25	0.28±0.27	0.29±0.27	0.55±0.34	0.47±0.28
Hypokinesia/rigidity score <sup>5,7</sup>	0.76±0.36	0.67±0.38	0.78±0.37	0.98±0.47	0.98±0.48
Tremor score <sup>8</sup>	0.47±0.30	0.57±0.28	0.13±0.17	0.45±0.22	0.49±0.31
<i>Motor feature at diagnosis, n</i>					
Tremor*	164 (100)	59 (98.3)	0 (0)	21 (80.7)	57 (79.2)
Rigidity*	133 (81.1)	25 (41.7)	59 (89.4)	24 (92.3)	57 (79.2)
Bradykinesia*	164 (100)	0 (0)	65 (98.5)	24 (92.3)	68 (94.4)
Postural instability*	0 (0)	0 (0)	0 (0)	26 (100)	0 (0)
<i>Other characteristics</i>					
Age onset, years <sup>2</sup>	57.5±9.83	60.7±9.83	56.8±10.7	60.0±9.84	66.1±6.87
NHY (Hoehn and Yahr stage)	1.54±0.51	1.45±0.53	1.50±0.50	1.69±0.47	1.67±0.47
Sex, n	56 (34.1)	25 (41.7)	23 (38.4)	9 (34.6)	19 (26.4)
Time from first symptom to baseline visit, months	24.9±30.5	22.8±18.0	18.6±14.2	28.7±23.1	19.3±15.7
Time from first symptom to diagnosis, months	19.0±28.5	15.7±17.1	14.3±13.4	21.5±23.2	14.8±14.4
<i>Side affected at time of diagnosis</i>					
Left side affected	72 (43.9)	19 (31.7)	31 (47.0)	12 (46.2)	32 (44.4)
Right side affected	89 (54.3)	40 (66.7)	33 (50.0)	12 (46.2)	38 (52.8)
Both sides affected	3 (1.8)	1 (1.7)	2 (3.0)	2 (7.7)	2 (2.8)
Total years of education <sup>9</sup>	16.1±2.62	15.9±2.93	15.3±2.92	16.1±2.32	14.2±3.72

**Table 5.** (continued)

Cluster description	Tremor with bradykinesia	Tremor-no-bradykinesia	No-tremor and mild	PISA	OCO
<i>Neuroimaging (DaTscan)</i>					
Right caudate <sup>10</sup>	2.04±0.55	2.10±0.60	1.97±0.57	1.87±0.65	1.82±0.57
Left caudate	2.03±0.55	2.15±0.59	1.94±0.53	1.94±0.60	1.87±0.60
Right putamen <sup>10</sup>	0.88±0.40	0.97±0.37	0.83±0.30	0.76±0.34	0.78±0.36
Left putamen	0.84±0.35	0.86±0.32	0.80±0.36	0.74±0.22	0.82±0.41

PISA = Postural instability, sleep and autonomic features; OCO = oldest onset cognitive and olfactory features.  
 Results are given as raw scores ± SD, values in parentheses are percentages of cluster, and significant differences are  $p < 0.05$  after Tukey adjustment. \*  $p < 0.05$ : significantly different by chi-square.  
<sup>1</sup> PISA significantly different from tremor with bradykinesia, tremor-no-bradykinesia and no-tremor groups.  
<sup>2</sup> Cognitive-olfactory group significantly different from all other groups.  
<sup>3</sup> Tremor plus bradykinesia significantly different from tremor-no-bradykinesia.

<sup>4</sup> Tremor-no-bradykinesia significantly different from no-tremor.  
<sup>5</sup> Cognitive-olfactory group significantly different from tremor plus bradykinesia, tremor-no-bradykinesia and no-tremor groups.  
<sup>6</sup> PISA significantly different from no-tremor group.  
<sup>7</sup> PISA significantly different from tremor-no-bradykinesia group.  
<sup>8</sup> No-tremor significantly different from all other groups.  
<sup>9</sup> Cognitive-olfactory group significantly different from tremor plus bradykinesia, tremor-no-bradykinesia and PISA.  
<sup>10</sup> Tremor-no-bradykinesia significantly different from OCO group.

oldest age of onset of PDD with the worst cognitive and olfactory performance. This 5 cluster solution accounted for 22.7% of the variance.

## Discussion

To our knowledge, this is the first report characterizing non-motor clinical patterns in early medication naïve Parkinsonism. We found non-motor symptoms, particularly sleep and autonomic features, to be worse in SWEDDs than in controls or PDD. We also found SWEDDs to have the highest prevalence of impulsive/compulsive behaviors, and this may result in them reporting more non-motor symptoms. Although SWEDDs could be very early Parkinsonism, which later demonstrate dopamine transport deficits, according to other studies follow-up of SWEDDs over 4 years does not demonstrate decreasing striatal dopamine in most cases [14–16]. SWEDDs may represent other conditions such as secondary Parkinsonism, Huntington disease, adult-onset dystonic tremor, essential tremor, psychogenic tremor or Fragile X Permutation [17]. As in another study, we found olfactory function in SWEDDs was better than in Parkinson’s disease [18]. Unlike our results, a separate study reported that SWEDDs have less severe non-motor issues of urinary symptoms, sleep disturbances, and behavior as reported by the Non-Motor Symptoms Scale [19]. This study adds to prior reports because we focus on clinical patterns in early medication naïve Parkinsonism with confirmed status of striatal dopamine transporter binding. Unlike our study, others employed clustering using non-motor features did so in Parkinson disease after a substantial proportion of participants were exposed to dopaminergic treatment, when the controls were not included, and when the means to distinguish SWEDDs was not available [20, 21]. Others have investigated clusters later in the disease course [22, 23]. A major concern about non-motor features is the degree to which they are intrinsic to Parkinsonism, as some may be secondary to medication side effects. For example, dopaminergic medication may contribute to impulse control disorders (ICDs), psychosis, or orthostatic hypotension [24]. Our results about participants who have never exposed to anti-Parkinsonian medication provide strong evidence that such non-motor features are also intrinsic to Parkinsonism.

Regarding non-motor patterns, the impulsive pattern includes ICDs such as gambling, shopping, sexual behavior, and eating [25]. Younger age of onset of Parkinson



disease is associated with ICDs [26], and this cluster was younger than the sleep-autonomic and cognitive-olfactory patterns. Dopamine is involved in regulating motivation, drive and learning stimulus-reinforcement behaviors [27]. The impulsive pattern had significantly higher dopamine transporter binding in the right caudate and putamen than the sleep-autonomic and cognitive-olfactory patterns. SWEDDs, which were excluded from clustering analyses, had higher dopamine transporter binding and also had higher impulsivity scores.

The sleep-autonomic pattern is consistent with studies finding autonomic dysfunction among those with REM sleep behavior disorder (RBD) [28, 29]. RBD is a parasomnia in which patients 'act-out' dreams with motor movements while in REM sleep. Neurodegenerative diseases eventually develop in up to 80% of RBD cases, with the most common being Parkinson's disease [30]. The cognitive-olfactory pattern is consistent with olfactory test scores showing correlations with verbal memory and executive performance [31]. The duration of education for the cognitive-olfactory pattern was lowest among all clusters. This supports the concept of cognitive reserve, which posits that lifelong experiences, including education, can increase cognitive tolerance of age- or disease-related changes [32]. The mild non-motor pattern may reflect non-motor features in their nascent phase that may become apparent as Parkinsonism progresses, or a milder non-motor variant of Parkinsonism.

Clustering patterns that we observed with motor features of tremor-predominant and postural-instability/gait subtypes [7, 33] are similar to those observed in other reports about Parkinson's disease. The postural instability pattern had the most severe non-motor features. To our knowledge, this is the first report of 2 motor patterns: tremor with bradykinesia and tremor without bradykinesia.

Although the numeric differences in individual variables among the clusters are small, the usefulness of this study lies in the combination of variables resulting in distinct clinical patterns. These results suggest that specific patterns of non-motor features manifest early in the course of Parkinsonism. However, this is a single analysis based on data obtained at an early point in disease. Before the clinical significance of these patterns can be established, the evolution of these clinical patterns with longitudinal follow-up is necessary. Within PDD, DaTscan results were similar despite the clinically heterogeneous patterns observed. It is possible that either non-dopaminergic pathways or areas outside of the striatum underlie non-motor or motor features.

The pathological hallmark of the most common form of PDD, Parkinson's disease, is Lewy-related pathology. Lewy formations are aggregates of the protein  $\alpha$ -synuclein, and their distribution throughout the nervous system is thought to underlie both motor and non-motor features. Recently, Lewy-related pathology has been recognized to occur throughout the brain, spinal cord, and peripheral autonomic nervous system in Parkinson's disease, and these regions underlie non-motor features. Neuropathological variability in extranigral regions may account for the clinical heterogeneity that we observed. For example, the density of  $\alpha$ -synuclein pathology in the olfactory bulb corresponds with olfactory deficits and correlates significantly with cognitive testing (the mini-mental state exam), supporting our clinical pattern of OCO. Multiple pathological studies demonstrate that non-tremor Parkinson disease cases have more severe cortical Lewy pathology, and clinically these cases are more likely to have some cognitive impairment [34].

Although medication-naïve Parkinsonism allows one to appreciate clinical features that are not secondary to medication effects, a major limitation is that we are limited in our ability to diagnose specific Parkinsonian syndromes. This is due to the fact that diagnostic criteria for Parkinson's disease, the most common PDD, include excellent response to dopaminergic medication, and lack of response may lead one to suspect another Parkinsonian disorder. While we were able to determine the status of striatal dopaminergic transporters with DaTscan, in the absence of a trial of dopaminergic medication, it is possible a clinical pattern in PDD could, in part, represent another disorder, such as multiple system atrophy in which autonomic dysfunction is more prominent. Results of cluster analysis are dependent on the variables selected for clustering, such that if different researchers used different features, different clinical patterns may emerge. Replication in other cohorts is necessary to determine validity and generalizability. Given that the PPMI includes those with very early Parkinsonism, it is possible that long-term clinical patterns have not yet emerged, particularly among mild non-motor patterns.

## Conclusions

Although the temporal sequence of non-motor and motor features cannot be determined in our cross-sectional analyses, this study suggests that heterogeneity in Parkinsonism exists very early in the course of disease. The presence of non-motor features in medication-naïve

participants suggests that non-motor features are intrinsic to Parkinsonian disorders. Even within the first 3 years of diagnosis among untreated Parkinson disease patients, non-motor symptoms make a larger contribution to diminished quality of life compared to motor features [5]. Several non-motor symptoms are treatable, including sleep disturbances, autonomic dysfunction, cognitive impairment, and psychiatric disorders. The presence of non-motor patterns in early Parkinsonism demonstrates the need for comprehensive treatment strategies, which encompass both motor and non-motor features to begin near the time of diagnosis.

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### Disclosure Statement

The authors declare that they have no conflict of interest to disclose.

### Author Roles

S. Jain: conception, organization, execution of study design, wrote first draft and final draft of manuscript. S.-Y. Park: statistical analyses – design, execution, review and critique of methods and manuscript. D. Comer: statistical analyses – dataset preparation and design for statistical analyses. Data access and responsibility: S. Jain takes responsibility for the integrity of the data and the accuracy of the data analysis.

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None.

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