

# Tenofovir Is Superior to Entecavir on Tertiary Prevention for BCLC Stage 0/A Hepatocellular Carcinoma after Curative Resection

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## Keywords

Entecavir · Tenofovir disoproxil fumarate · Chronic hepatitis B · Hepatocellular carcinoma · Recurrence

## Abstract

**Background:** It is unclear whether entecavir (ETV) and tenofovir disoproxil fumarate (TDF) have different effects on hepatocellular carcinoma (HCC) recurrence and death in patients receiving curative hepatectomy for hepatitis B virus (HBV)-related HCC. **Aims:** The aim of this study was to compare the long-term efficacy of ETV and TDF in HCC recurrence and overall survival (OS) of patients after curative hepatectomy. **Methods:** From January 2010 to December 2019, 20,572 patients with HCC who received hepatectomy were screened for study eligibility. Finally, a total of 219 consecutive patients treated with ETV ( $n = 146$ ) or TDF ( $n = 73$ ) after curative hepatectomy for HBV-related HCC of Barcelona Clinic Liver Cancer stage 0 or A were analyzed by propensity

score matching (PSM) (2:1) analysis and competing risk analysis. HCC recurrence and OS of patients were compared between ETV and TDF groups. **Result:** After a median follow-up of 52.2 months, 81 patients (37.0%) had HCC recurrence, 33 (15.1%) died, and 5 (2.3%) received liver transplantation. TDF therapy was an independent protective factor for HCC recurrence compared with ETV therapy (HR, 1.687; 95% CI, 1.027–2.770,  $p = 0.039$ ); however, no difference in the risk of death or liver transplantation. Results were similar in competing risk analysis. We further found that TDF therapy was significantly associated with a lower risk of late recurrence (HR, 4.705; 95% CI, 1.763–12.558,  $p = 0.002$ ), but not in early recurrence. **Conclusions:** TDF therapy is associated with a significantly lower risk of HCC recurrence, especially of late recurrence, than ETV therapy among patients who undergo curative hepatectomy for HBV-related early-stage HCC.

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## Introduction

Hepatocellular carcinoma (HCC) is the second most common cause of cancer-related deaths worldwide [1]. The high incidence of HCC in Asia compared to other regions of the world is related to the predominance of hepatitis B virus (HBV)-related HCC [2]. Although HCC surveillance of high-risk populations may improve early tumor diagnosis and treatment and reduce mortality rates, the clinical outcomes of patients receiving curative treatments still remain unsatisfactory due to the high rate of recurrence [3]. Around 70% of patients with HCC who undergo potentially curative surgical resection develop recurrence within 5 years; tumor recurrence occurs most frequently within 2 years of resection [4]. Many factors are known to affect the risk of recurrence in HCC, including tumor size, alpha-fetoprotein (AFP), microvascular invasion, cirrhosis, and the viral replication status of HBV, hepatitis C virus, etc. [4–6]. Of these factors, hepatic viral load is the most clinically controllable. Among patients with HBV-related HCC, a higher HBV viral load has been reported to be a strong independent risk factor for recurrence after surgical resection [7, 8], and antiviral therapies may prevent recurrence and improve survival after resection [9, 10]. However, antiviral therapies do not eliminate tumor recurrence, and approximately 40–60% of patients with HCC treated with nucleos(t)ide analogs (NUCs) develop recurrence after resection [4, 10].

Entecavir (ETV) and tenofovir disoproxil fumarate (TDF) are currently recommended as first-line therapies for patients with chronic hepatitis B (CHB) due to their potent antiviral activity and high genetic barrier to resistance [11–13]. ETV and TDF suppress viral replication and have long-term efficacy for reducing the risk of HCC in patients with CHB [14, 15]; however, the relative risk reduction efficacy of the 2 drugs is currently under debate. A number of studies published in 2019 and 2020 indicated that TDF lowered the risk of HCC more effectively than ETV [10, 16, 17]. However, these findings were not supported by other reports [17, 18], possibly due to the fact that the studies assessed heterogeneous populations. In addition to the risk of developing HCC, it is not clear whether ETV and TDF have different effects on the risk of tumor recurrence in patients with HCC. To date, only one cohort study from Korea has suggested that TDF may be associated with a lower risk of HCC recurrence and a better overall survival (OS) than ETV [19]. However, due to the lack of head-to-head randomized controlled trials that directly compare the 2 drugs, additional studies are necessary to validate this result. Therefore, we

aimed to compare ETV and TDF on the risk of HCC recurrence and mortality or liver transplantation in a propensity-matched, HBV-related HCC cohort that received curative hepatectomy.

## Materials and Methods

### *Patient Population*

We retrospectively reviewed the Chang Gung Research Database, which is derived from the largest private hospital system in Taiwan, from January 2010 to December 2019, and retrieved data of HCC patients ( $n = 20,572$ ) (Fig. 1). A total of 5,896 patients with early-stage HCC (Barcelona Clinic Liver Cancer [BCLC] stage 0 or A) were studied. We excluded patients receiving nonsurgery ( $n = 3,634$ ), other modalities before resection ( $n = 1,081$ ), receiving primary liver transplantation ( $n = 39$ ), coinfection with hepatitis C virus ( $n = 647$ ), <3 months of follow-up period after the surgery ( $n = 9$ ), no NUC treatment after resection ( $n = 630$ ), delayed (< 3 months) NUC treatment after resection ( $n = 194$ ), and previous treatment with NUCs other than ETV or TDF ( $n = 82$ ). Finally, 431 consecutive patients who received curative hepatectomy for HBV-related BCLC-0 or A HCC were enrolled in this study. Of them, 347 and 84 patients received ETV and TDF treatment, respectively. The selection of ETV or TDF treatment depended on the preference of the clinical physicians, and all cases received 0.5 mg of ETV or 300 mg of TDF once daily or vary according to the renal function. All patients continued their initial treatment regimen (i.e., ETV or TDF) during the study period, except patients who experienced adverse events or developed drug resistance. The patients who changed NUCs were censored at the time of the change.

This study complies with the standards of the Declaration of Helsinki and current ethical guidelines, and approval was obtained from the Ethics Committee of Chang Gung Memorial Hospital (approval number: 201900065B0; 201901103B0). Due to the retrospective design of the study, the written informed consent was waived according to the Institutional Review Board.

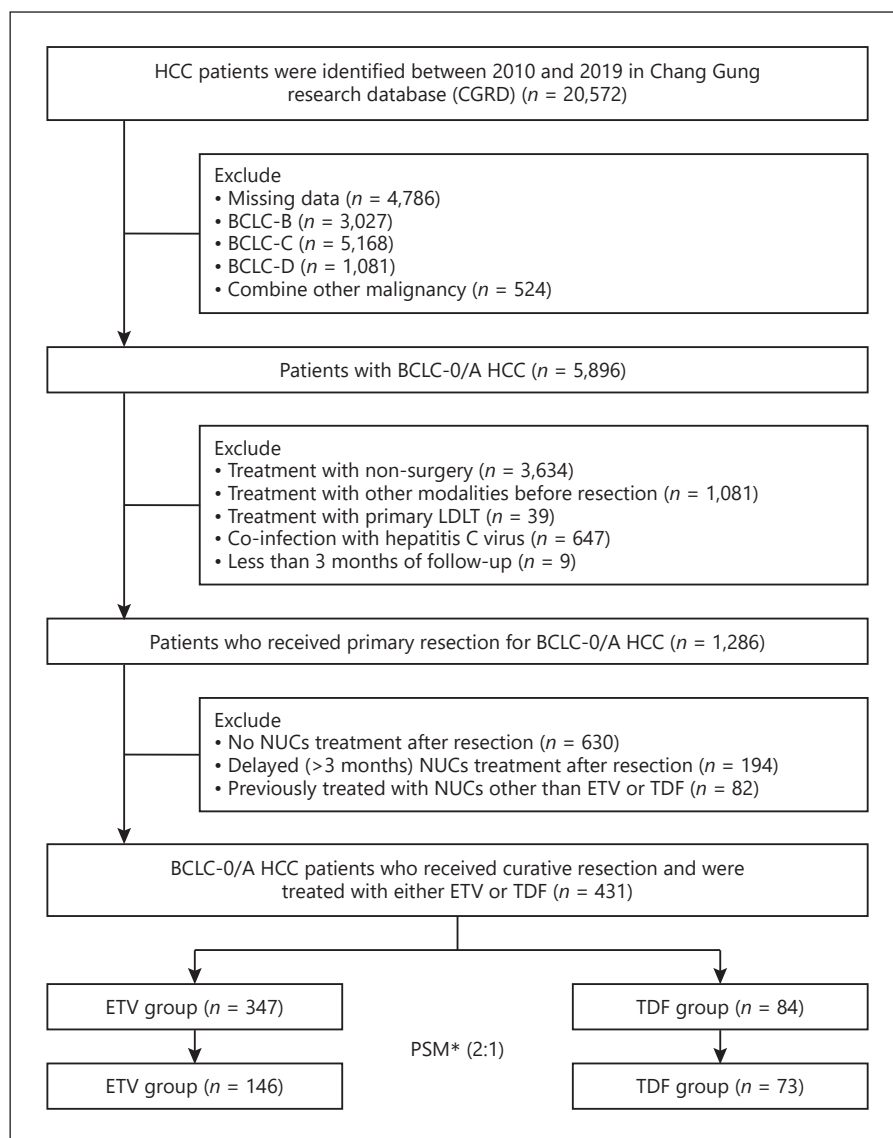
### *Propensity Score Analysis*

Propensity score matching (PSM) was applied to reduce selection bias by equating the 2 groups. All possible clinicopathological covariates, including age, sex, body mass index (BMI), diabetes mellitus, smoking, liver cirrhosis, BCLC stage, tumor size, tumor number, histological grade, microvascular invasion, capsule invasion, and satellite nodule, which might have affected the outcomes, were included when conducting PSM. Using NCSS 10 Statistical Software (LLC, Kaysville, UT, USA), the greedy method was used for matching at a 2:1 ratio between the study groups with a caliper width 0.2-fold the standard deviation of the propensity score between the study groups. The standardized mean difference was used to evaluate the covariate balance after PSM.

### *Study Outcomes*

The primary outcome was recurrence-free survival (RFS), defined as the interval between the operation and the date of diagnosis of the first HCC recurrence. The secondary outcome was OS, defined as the interval between the date of surgery and death, liver transplantation, or date of last follow-up. The end date of the follow-up was on April 30, 2020.

**Fig. 1.** Patient selection flow diagram (\*PSM analysis was based on the following variables: age, sex, body mass index, diabetes mellitus, hypertension, alcohol drinking, smoking, liver cirrhosis, BCLC stage, tumor size, tumor number, histology grade, microvascular invasion, capsule invasion, and satellite nodule). PSM, propensity score matching; BCLC, Barcelona Clinic Liver Cancer; HCC, hepatocellular carcinoma; NUCs, nucleos(t)ide analogs; ETV, entecavir; TDF, tenofovir disoproxil fumarate.



### Definition

The diagnosis of HCC was assessed by contrast-enhanced multiphase computed tomography (CT) or magnetic resonance imaging (MRI), which fulfilled the criteria of the practice guidelines of the European Association for the Study of the Liver [20] or the American Association for the Study of Liver Disease [21], and was pathologically confirmed after the resection. The BCLC system is endorsed by the European Association for the Study of the Liver [22] and American Association for the Study of Liver Disease [23], and the revision of a single large HCC (>5 cm) as BCLC stage B (instead of stage A) was widely adopted by Taiwan Liver Cancer Association (<http://www.tlcaweb.org.tw/>). Curative resection was defined as no viable tumor confirmed by contrast-enhanced CT or MRI within 3 months after resection. Intrahepatic HCC recurrence was defined using the same criteria as for the diagnosis of HCC with contrast-enhanced CT or MRI, showing typical features of HCC. Early recurrence was defined as recurrence within 2 years after cu-

curative resection, while recurrence after 2 years of curative resection was defined as late recurrence [4]. We defined NUC treatment-naïve as no NUC treatment 3 months before HCC diagnosis.

### Clinical Evaluations and Follow-Up

The baseline demographics, serum biochemistry, and tumor characteristics were comprehensively recorded from the electronic medical records for analysis. HBV DNA (detection limit of 20 IU/mL, Roche COBAS TaqMan; Roche Molecular System, Branchburg, NJ, USA) was recorded at the time of within 1 month before the surgery. The histological features of the resected tumor, including satellite nodules, capsule invasion, microvascular invasion, and tumor differentiation, were recorded. Histological grade of tumor differentiation was scored using the modified nuclear grading scheme outlined by the Edmondson and Steiner, with tumor grade categorized as well, moderately, and poorly differentiated [24]. The grade for patients with multifocal disease is coded as the

highest grade from any of the tumors. Degree of hepatic fibrosis in the nontumor part was scored according to the classification of Ishak et al. [25]. Liver cirrhosis was defined as Ishak et al. [25] fibrosis scores 5–6.

Patients were followed up at the 1st month after the surgery, followed by every 2–3 months by serum AFP levels, serum biochemistry, and abdominal ultrasound. Contrast-enhanced CT or MRI studies were identified if the tumor recurrence was suspected in the presence of elevation of serum AFP levels and ultrasonography detection of a new hepatic lesion. When HCC recurrence was confirmed, patients were hospitalized for multidisciplinary management, including liver resection, percutaneous ethanol injection, radiofrequency ablation, liver transplantation, transarterial embolization, chemotherapy, target therapy, immunotherapy, or supportive treatment.

Certain drugs, including aspirin and statin, which might affect the risk of HCC recurrence, were also analyzed. We collected the date of prescription, daily dose, and number of days supplied to defined daily dose (DDD) as recommended by the World Health Organization for measuring a prescribed amount of drugs [26]. Cumulative DDD (cDDD) was estimated as the sum of dispensed DDDs of any statins (namely Atorvastatin, Fluvastatin, Pitavastatin, and Rosuvastatin) and aspirin from 1 year before tumor resection to HCC recurrence. Patients taking >90 cDDD were enrolled in the use group.

#### Statistical Analysis

Continuous data are expressed as mean  $\pm$  standard deviation, and categorical data are expressed as number (percentage). Demographic data were compared between groups using the  $\chi^2$  test or the Fisher's exact test, as appropriate. After creating the propensity score weight, the Kaplan-Meier method was used to generate cumulative incidence curves for HCC recurrence and death/liver transplantation. Analysis of prognostic factors for RFS and OS was performed using the Cox proportional hazards model. For multivariate analysis, based on the conservative thought, first, all potential risk factors ( $p < 0.1$  in the univariate analysis) were required to be included in the multivariate analysis and demonstrated in model 1. Second, the multivariate analysis using the stepwise approach with  $p < 0.05$  as selection criterion was also revealed in model 2. A time-dependent, receiver-operating characteristic (ROC) curve analysis was used to assess the best cutoff point of BMI, AST, ALT, albumin, and AFP by Youden's index for predicting tumor recurrence and death/liver transplantation [27]. Since patients who died or underwent liver transplantation were no longer at risk for HCC recurrence, competing risk analyses were also conducted to evaluate the cumulative incidence of HCC recurrence, with death or liver transplantation considered a competing risk.

Statistical analyses were performed using SPSS 23.0 software (IBM, Chicago, IL, USA). All statistical tests were considered significant with 2-sided and  $p$  values  $< 0.05$ .

## Results

### *Clinicopathological Characteristics of the Total Study Cohort*

Among a total of 431 patients included in this study, 347 (80.5%) and 84 (19.2%) patients received ETV and

TDF treatments, respectively. The mean age of the patients was 56.6 years, and the majority of patients were male 374 (86.8%). The median size of HCC was 2.6 cm and all patients were in BCLC stage 0 ( $n = 119$ , 27.6%) or A ( $n = 312$ , 72.4%). Cirrhosis was histologically confirmed and was present in 252 (58.5%) patients. The mean follow-up duration was  $53.4 \pm 28.7$  months.

Before the PSM analysis, most characteristics were not significantly different between ETV and TDF groups except those patients in the ETV group were with a significantly higher proportion of NUC treatment before HCC resection (41.5% vs. 21.4%,  $p = 0.001$ ), duration of NUC treatment before surgery ( $27.4 \pm 16.8$  vs.  $12.7 \pm 4.7$  months,  $p < 0.001$ ), and a lower proportion of satellite nodule (2.6% vs. 10.7%,  $p = 0.001$ ) than TDF-treated patients (Table 1). After PSM, you can notice that most measured characteristics were balanced between ETV- and TDF-treated groups (standardized mean difference  $< 20\%$  for selected variables), except for the proportion and duration of NUC treatment before surgery, which was due to the different approval dates of the 2 agents in Taiwan; ETV was first approved in August 2008 and TDF, in June 2011. Finally, we generated a 2:1 PS-matched analysis, including a total of 219 patients (146 patients on ETV and 73 patients on TDF), who had no significant differences in terms of all confounding factors for subsequent analysis, including the duration of follow-up period, which might be one of the most important variables for outcomes' comparison ( $52.0 \pm 28.6$  vs.  $52.5 \pm 24.0$  months,  $p = 0.890$ ) (Table 1).

### *Risk Factors Associated with RFS*

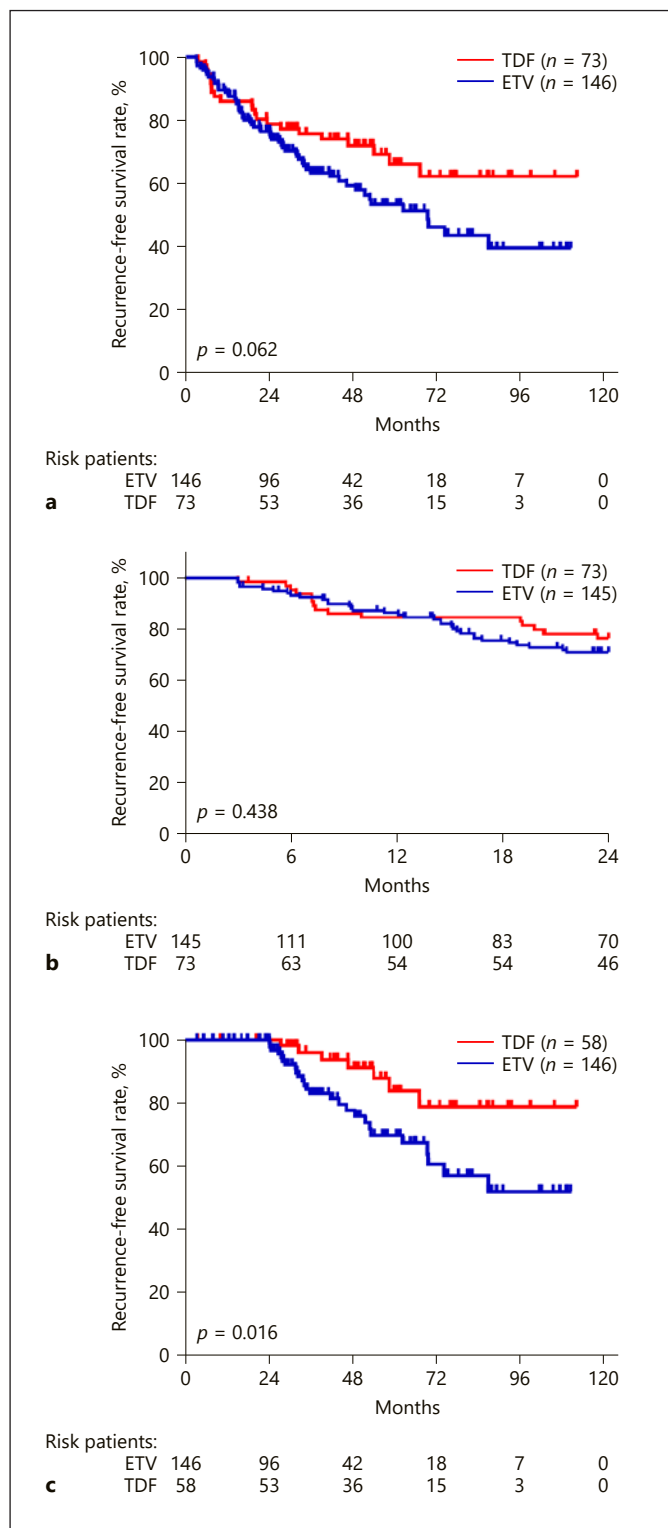
A total of 81 (37.0%) patients experienced HCC recurrence during the follow-up period, 59 (40.4%) in the ETV group and 22 (30.1%) in the TDF group. The 1-, 3-, and 5-year RFS rates were 88.9%, 63.5%, and 53.5%, respectively, in the ETV group, while it was 86.1%, 75.8%, and 66.1%, respectively, in the TDF group ( $p = 0.062$ , Fig. 2a). According to the univariate Cox regression analysis, incidence of HCC recurrence was significantly higher in patients with DM ( $p = 0.045$ ), current alcohol drinking ( $p = 0.022$ ), current smoking ( $p = 0.041$ ), presence of liver cirrhosis ( $p = 0.007$ ), BCLC A versus 0 ( $p = 0.017$ ), larger tumor size ( $p = 0.006$ ), presence of satellite nodule ( $p = 0.019$ ), and statin use ( $p = 0.047$ ) (Table 2).

In addition, based on the multivariable Cox regression analysis taking potential risk factors ( $p < 0.1$  on univariate analysis), presence of satellite nodule (hazard ratio [HR], 2.379; 95% CI, 1.050–5.392,  $p = 0.038$ ), statin use (HR,

**Table 1.** Patient characteristics before and after matching

Covariates	Before PSM			After PSM			
	ETV (n = 347)	TDF (n = 84)	p value	ETV (n = 146)	TDF (n = 73)	SMD	p value
Age, years	56.6±10.4	56.9±10.5	0.808	56.4±10.9	56.5±10.6	0.006	0.967
Male gender	299 (86.2)	75 (89.3)	0.449	127 (87.0)	64 (87.7)	0.021	0.886
BMI, kg/m <sup>2</sup>	24.8±3.4	25.3±3.4	0.258	24.9±3.7	25.0±4.2	0.041	0.773
Diabetes mellitus	73 (21.0)	18 (21.4)	0.937	31 (21.2)	18 (24.7)	0.082	0.566
Statin use	14 (4.0)	7 (8.3)	0.101	10 (7.1)	7 (9.6)	0.081	0.510
Aspirin use	10 (2.9)	6 (7.1)	0.064	4 (2.7)	5 (6.8)		0.149
Family history of HCC	57 (16.4)	16 (19.0)	0.566	21 (14.0)	14 (19.2)		0.361
Alcohol drinking							
Never	287 (82.7)	61 (72.6)		127 (87.0)	61 (83.0)		
Current	9 (2.6)	9 (10.7)	0.344	3 (2.1)	3 (4.1)	0.129	0.639
Past	51 (14.7)	14 (16.7)		16 (11.0)	9 (12.3)		
Smoking							
Never	242 (69.7)	56 (66.7)		113 (77.4)	52 (71.2)		
Current	54 (15.6)	20 (23.8)	0.243	24 (16.4)	14 (19.2)	0.156	0.539
Past	51 (14.7)	8 (9.5)		9 (6.2)	7 (9.6)		
Platelet, <150 × 10 <sup>3</sup> /μL	157 (45.2)	38 (45.2)	0.999	71 (48.6)	31 (42.5)		0.389
AST, U/L	37.3±20.7	40.9±23.8	0.168	37.6±21.0	40.2±22.7		0.399
ALT, U/L	43.6±38.6	48.5±34.1	0.293	45.0±41.2	47.6±34.1		0.647
Total bilirubin, mg/dL	0.8±0.4	0.8±0.3	0.101	0.80±0.37	0.77±0.27		0.583
Albumin, g/dL	4.3±0.5	4.2±0.5	0.117	4.2±0.5	4.2±0.5		0.577
Creatinine, mg/dL	1.0±1.0	0.9±0.3	0.689	0.99±1.03	0.92±0.30		0.607
eGFR, mL/min/1.73 m <sup>2</sup>	95.9±24.0	92.9±25.1	0.308	96.0±24.5	94.0±26.0		0.568
AFP, >20 ng/mL	152 (43.8)	41 (48.8)	0.408	70 (47.9)	34 (46.6)		0.848
Child-Pugh class (A/B)	344/3	82/2	0.244	144/2	73/0		0.315
ALBI score	-2.9±0.4	-2.8±0.5	0.163	-2.9±0.4	-2.8±0.4		0.547
HBeAg positive	57 (16.5)	18 (21.5)	0.288	27 (18.5)	16 (21.7)		0.584
HBV DNA							
Undetectable	156 (45.1)	31 (36.9)		65 (44.8)	29 (40.4)		
<2,000 IU/mL	43 (12.5)	13 (15.4)	0.465	15 (10.4)	11 (15.8)		0.567
≥2,000 IU/mL	146 (42.3)	40 (47.7)		65 (44.8)	32 (43.9)		
HBV DNA, ≥6 log <sub>10</sub> IU/mL	44 (13.8)	10 (15.4)	0.737	21 (15.7)	8 (14.0)		0.773
Ishak score	4.4±1.8	4.7±1.7	0.129	4.3±1.9	4.7±1.6		0.151
Liver cirrhosis	199 (57.3)	53 (63.1)	0.338	84 (57.5)	44 (60.3)	0.056	0.698
BCLC stage 0/A	97/250	22/62	0.746	34/112	19/54	0.064	0.655
Tumor size, cm	2.6±1.2	2.7±1.0	0.932	2.6±1.0	2.7±1.0	0.047	0.748
Multiple tumors	34 (9.8)	13 (15.5)	0.172	19 (13.0)	9 (12.3)	0.021	0.886
Histology grade							
Well	61 (17.7)	6.0 (7.1)		10 (6.8)	6 (8.2)		
Moderate	208 (60.3)	59 (70.2)	0.328	107 (73.3)	50 (68.5)	0.106	0.759
Poor	76 (22.0)	19 (22.6)		29.0 (19.9)	17 (23.3)		
Microvascular invasion	102 (29.4)	28 (33.3)	0.480	50 (34.2)	25 (34.2)	<0.001	1,000
Capsule invasion	188 (54.2)	38 (45.2)	0.141	130 (89.0)	63 (86.3)	0.083	0.555
Satellite nodule	9 (2.6)	9 (10.7)	0.001	7 (4.8)	4 (5.5)	0.031	0.827
NUC-experienced/NUC-naïve	144 (41.5)/ 203 (58.5)	17 (20.2)/ 67 (79.8)	<0.001	59 (40.4)/ 87 (59.6)	15 (20.5)/ 58 (79.5)	-	0.003
Duration of NUC treatment before surgery	27.4±16.8	12.7±4.7	<0.001	25.1±17.8	13.0±5.8	-	<0.001
Follow-up after surgery, months	53.8±29.8	51.6±23.8	0.474	52.0±28.6	52.5±24.0	-	0.890

Data are expressed as mean ± standard deviation or n (%). ETV, entecavir; TDF, tenofovir disoproxil fumarate; NUCs, nucleos(t)ide analogs; AST, aspartate aminotransferase; ALT, alanine aminotransferase; eGFR, estimated glomerular filtration rate; AFP, alpha-fetoprotein; ALBI, albumin-bilirubin; HBV, hepatitis B virus; HBeAg, hepatitis B e antigen; SMD, standardized mean difference. PSM, propensity score matching; BCLC, Barcelona Clinic Liver Cancer; HCC, hepatocellular carcinoma; NUCs, nucleos(t)ide analogs; BMI, body mass index.



**Fig. 2.** RFS after curative resection in patients with HBV-related HCC under ETV or TDF treatment. The overall **(a)** for early RFS and **(b)** for late RFS **(c)**. RFS, recurrence-free survival; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; ETV, entecavir; TDF, tenofovir disoproxil fumarate.

0.118; 95% CI, 0.016–0.879,  $p = 0.037$ ), and ETV treatment (HR, 1.805; 95% CI, 1.074–3.033,  $p = 0.026$ ) were independent risk factors for RFS (Table 2 multivariable model 1). Using the stepwise selection approach, presence of cirrhosis (HR, 1.779; 95% CI, 1.098–2.881,  $p = 0.019$ ), larger tumor size (HR, 1.247; 95% CI, 1.027–1.515,  $p = 0.026$ ), presence of satellite nodule (HR, 2.560; 95% CI, 1.166–5.618,  $p = 0.019$ ), and ETV versus TDF treatment (HR, 1.687; 95% C, 1.027–2.770,  $p = 0.039$ ) were independent risk factors for RFS (Table 2 multivariable model 2).

During the observation, 11 patients died ( $n = 7$ ) or received liver transplantation ( $n = 4$ ) before the HCC recurrence. With the competing risk analysis, ETV treatment was again associated with a significantly higher risk of HCC recurrence than TDF treatment (HR, 1.702; 95% CI, 1.037–2.793,  $p = 0.035$ ; online suppl. Table 1; see [www.karger.com/doi/10.1159/000518940](http://www.karger.com/doi/10.1159/000518940) for all online suppl. material; online suppl. Fig. 1).

#### Risk Factors Associated with Early and Late HCC Recurrence

HCC recurrence is typically classified as early or late recurrence, which is generally defined using a cutoff of 2 years after surgery [4, 28]. Among the total of 81 patients with HCC recurrence, 48 (59.3%) and 33 (40.7%) patients had early (<2 years) and late ( $\geq 2$  years) HCC recurrences, respectively: in early recurrence, 33 (22.6%) in the ETV group and 15 (20.5%) in the TDF group ( $p = 0.729$ ); in late recurrence, 26 (23.0%) in the ETV group and 7 (12.2%) in the TDF group ( $p = 0.086$ ). As shown in Figure 2b, the Kaplan-Meier method showed that there was no significant difference in early HCC recurrence between the ETV and TDF groups; however, ETV treatment was significantly associated with a higher risk of late recurrence than TDF therapy (Fig. 2c,  $p = 0.016$ ). Similarly, the multivariate analysis revealed that ETV treatment was an independent risk factor of late recurrence (HR, 2.792,  $p = 0.019$  in model 1; HR, 4.705;  $p = 0.002$  in model 2) when compared with TDF treatment (Table 4).

Other factors that were significantly associated with a higher risk of early recurrence were presence of satellite nodule (HR, 3.072; 95% CI, 1.212–7.784,  $p = 0.018$ ) and presence of liver cirrhosis (HR, 2.060; 95% CI, 1.104–3.844,  $p = 0.023$ ) (Table 3). For late recurrence, family history of HCC (HR, 2.412; 95% CI, 1.050–5.542,  $p = 0.037$ ) and current smoking (HR, 2.720; 95% CI, 1.188–6.224,  $p = 0.018$ ) were significantly associated with late recurrence in the multivariate analysis (Table 4).

**Table 2.** Prognostic factors associated with HCC recurrence

Variable	Comparison	Univariate		Multivariate 1 <sup>a</sup>		Multivariate 2 <sup>b</sup>	
		HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Age, years	Per 1-year increase	1.020 (0.998–1.041)	0.073	1.011 (0.988–1.034)	0.344		
Sex	Male versus female	0.844 (0.447–1.595)	0.601				
BMI, kg/m <sup>2</sup>	>30 versus ≤30	0.884 (0.426–1.837)	0.742				
Diabetes mellitus	Yes versus no	1.632 (1.012–2.631)	0.045	1.510 (0.881–2.587)	0.134		
Alcohol drinking	Current versus never/past	1.899 (1.095–3.294)	0.022	1.508 (0.776–2.928)	0.225		
Smoking	Current versus never/past	1.658 (1.021–2.693)	0.041	1.391 (0.771–2.507)	0.273		
HCC family history	Yes versus no	1.110 (0.634–1.946)	0.715				
HBeAg	Positive versus negative	1.134 (0.736–1.749)	0.568				
HBV DNA (IU/mL)	>2,000 versus ≤2,000	1.018 (0.642–1.615)	0.939				
NUCs experienced	Yes versus no	0.936 (0.587–1.493)	0.781				
AST (U/L)	>40 versus ≤40	1.363 (0.870–2.134)	0.176				
ALT (U/L)	>40 versus ≤40	1.050 (0.676–1.634)	0.827				
Platelet (10 <sup>9</sup> /L)	<150 versus ≥150	1.328 (0.859–2.055)	0.202				
AFP (ng/mL)	>20 versus ≤20	1.245 (0.805–1.926)	0.325				
Albumin (mg/dL)	<3.5 versus ≥3.5	1.340 (0.968–1.856)	0.078	1.441 (0.599–3.466)	0.414		
Child-Pugh class	B versus A	1.447 (0.201–10.419)	0.714				
ALBI grade	II/III versus I	1.204 (0.743–1.953)	0.451				
Liver cirrhosis	Yes versus no	1.919 (1.191–3.093)	0.007	1.494 (0.908–2.459)	0.114	1.779 (1.098–2.881)	0.019
BCLC stage	A versus 0	2.107 (1.141–3.892)	0.017	1.470 (0.715–3.024)	0.3295		
Tumor no.	Multiple versus single	1.149 (0.633–2.084)	0.648				
Tumor size, cm	Per 1-cm increase	1.309 (1.078–1.589)	0.006	1.157 (0.912–1.469)	0.230	1.247 (1.027–1.515)	0.026
Histological stages	Poor/moderate versus well	0.831 (0.383–1.805)	0.640				
Microvascular invasion	Yes versus no	1.052 (0.737–1.502)	0.780				
Capsule invasion	Yes versus no	0.931 (0.480–1.807)	0.833				
Satellite nodules	Yes versus no	2.525 (1.162–5.490)	0.019	2.379 (1.050–5.392)	0.038	2.560 (1.166–5.618)	0.019
Statin use, cDDD	>90 versus ≤90	0.236 (0.059–0.955)	0.047	0.118 (0.016–0.879)	0.037		
Aspirin use, cDDD	>90 versus ≤90	0.707 (0.223–2.241)	0.555				
Types of NUCs	ETV versus TDF	1.590 (0.973–2.600)	0.064	1.805 (1.074–3.033)	0.026	1.687 (1.027–2.770)	0.039

HR, hazard ratio; CI, confidence interval; BMI, body mass index; ETV, entecavir; TDF, tenofovir disoproxil fumarate; cDDD, cumulative defined daily doses; AST, aspartate aminotransferase; ALT, alanine aminotransferase; AFP, alpha-fetoprotein; ALBI, albumin-bilirubin; HCC, hepatocellular carcinoma; HBeAg, hepatitis B e antigen; NUCs, nucleos(t)ide analogs; HBV, hepatitis B virus; BCLC, Barcelona Clinic Liver Cancer. <sup>a</sup>Multivariate 1: multivariable analysis based on potential risk factors ( $p < 0.1$  in the univariate Cox model). <sup>b</sup>Multivariate 2: multivariable analysis with variables ( $p < 0.1$  in the univariate Cox model) adjustment based on the stepwise selection.

### Risk Factors Associated with OS

A total of 33 (15.0%) patients died and 5 (2.3%) patients received liver transplantation during the follow-up period, 30 in the ETV group and 8 in the TDF group. Overall transplant-free survival rates at 1, 3, and 5 years were 98.6%, 89.6%, and 75.3%, respectively, in the ETV group, while it was 97.3%, 91.3%, and 88.0%, respectively, in the TDF group ( $p = 0.104$ , Fig. 3).

By the stepwise Cox proportional hazard model, presence of cirrhosis (HR, 4.275; 95% CI, 1.659–11.014,  $p = 0.003$ ) and BCLC stage A versus 0 (HR, 3.431; 95% CI, 1.053–11.180,  $p = 0.041$ ) were independent risk factors for death or liver transplantation (Table 5). In contrast, there was no significant difference for death or liver transplantation between ETV and TDF treatment. When death

was considered the endpoint and liver transplantation a censoring event, results were also similar (data not shown).

### Risk Factors Associated with RFS for ETV- or TDF Treatment-Naïve Patients after Surgery

In the subgroup analysis of 145 (66.2%) NUC treatment-naïve patients after surgery, 87 of whom were ETV-naïve and 58 were TDF-naïve, respectively, and the TDF group had significantly better RFS than the ETV group ( $p = 0.003$ , Fig. 4a). There was no significant difference in early RFS between the ETV- and TDF-naïve groups (Fig. 4b), though the TDF-naïve group had better late RFS ( $p = 0.008$ , Fig. 4c). In multivariable analysis, HCC recurrence was independently associated with ETV treatment

**Table 3.** Prognostic factors associated with early recurrence in HCC

Variable	Comparison	Univariate HR (95% CI)	p value	Multivariate 1 <sup>a</sup> HR (95% CI)	p value	Multivariate 2 <sup>b</sup> HR (95% CI)	p value
Age, years	Per 1-year increase	1.017 (0.990–1.045)	0.216				
Sex	Male versus female	0.865 (0.388–1.927)	0.722				
BMI, kg/m <sup>2</sup>	>30 versus ≤30	0.328 (0.079–1.350)	0.122				
Diabetes mellitus	Yes versus no	2.051 (1.135–3.706)	0.017	1.718 (0.938–3.146)	0.080		
Alcohol drinking	Current versus never/past	1.689 (0.841–3.390)	0.140				
Smoking	Current versus never/past	1.306 (0.701–2.434)	0.400				
HCC family history	Yes versus no	0.588 (0.233–1.484)	0.261				
HBeAg	Positive versus negative	1.158 (0.576–2.329)	0.680				
HBV DNA, IU/mL	>2,000 versus ≤2,000	1.081 (0.590–1.982)	0.801				
NUCs experienced	Yes versus no	0.839 (0.456–1.544)	0.572				
AST, U/L	>40 versus ≤40	1.034 (0.562–1.904)	0.915				
ALT, U/L	>40 versus ≤40	0.811 (0.449–1.466)	0.488				
Platelet, 10 <sup>9</sup> /L	<150 versus ≥150	1.481 (0.839–2.614)	0.175				
AFP, ng/mL	>20 versus ≤20	1.497 (0.847–2.649)	0.165				
Albumin, mg/dL	<3.5 versus ≥3.5	1.207 (0.478–3.046)	0.691				
Child-Pugh class	B versus A	2.336 (0.322–16.947)	0.401				
ALBI grade	II/III versus I	1.323 (0.710–2.465)	0.379				
Liver cirrhosis	Yes versus no	1.877 (1.007–3.497)	0.047	1.611 (0.857–3.029)	0.139	2.060 (1.104–3.844)	0.023
BCLC stage	A versus 0	1.756 (0.822–3.751)	0.146				
Tumor no.	Multiple versus single	1.391 (0.651–2.972)	0.394				
Tumor size, cm	Per 1-cm increase	1.311 (1.021–1.684)	0.034	1.209 (0.935–1.565)	0.148		
Histological stages	Poor/moderate versus well	1.194 (0.371–3.843)	0.766				
Microvascular invasion	Yes versus no	1.066 (0.585–1.943)	0.835				
Capsule invasion	Yes versus no	2.283 (1.024–5.089)	0.044	2.093 (0.936–4.678)	0.072		
Satellite nodules	Yes versus no	2.829 (1.120–7.149)	0.028	2.385 (0.938–6.065)	0.068	3.072 (1.212–7.784)	0.018
Statin use, cDDD	>90 versus ≤90	0.245 (0.031–7.023)	0.229				
Aspirin use, cDDD	>90 versus ≤90	0.454 (0.063–3.290)	0.435				
Types of NUCs	ETV versus TDF	1.128 (0.613–2.007)	0.689				

HR, hazard ratio; CI, confidence interval; BMI, body mass index; ETV, entecavir; TDF, tenofovir disoproxil fumarate; cDDD, cumulative defined daily doses; AST, aspartate aminotransferase; ALT, alanine aminotransferase; AFP, alpha-fetoprotein; ALBI, albumin-bilirubin; HCC, hepatocellular carcinoma; HBeAg, hepatitis B e antigen; NUCs, nucleos(t)ide analogs; HBV, hepatitis B virus; BCLC, Barcelona Clinic Liver Cancer. <sup>a</sup>Multivariate 1: multivariable analysis based on potential risk factors ( $p < 0.1$  in the univariate Cox model). <sup>b</sup>Multivariate 2: multivariable analysis with variables ( $p < 0.1$  in the univariate Cox model) adjustment based on the stepwise selection.



**Table 4.** Prognostic factors associated with late recurrence in HCC

Variable	Comparison	Univariate		Multivariate 1 <sup>a</sup>		Multivariate 2 <sup>b</sup>	
		HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Age, years	Per 1-year increase	1.024 (0.989–1.059)	0.184				
Sex	Male versus female	0.809 (0.284–2.304)	0.692				
BMI, kg/m <sup>2</sup>	>30 versus ≤30	2.009 (0.825–4.892)	0.124				
Diabetes mellitus	Yes versus no	1.097 (0.475–2.533)	0.828				
Alcohol drinking	Current versus never/past	2.348 (0.963–5.726)	0.061	1.310 (0.451–3.803)	0.620		
Smoking	Current versus never/past	2.499 (1.159–5.389)	0.019	2.015 (0.806–5.038)	0.134	2.720 (1.188–6.224)	0.018
HCC family history	Yes versus no	2.028 (0.964–4.266)	0.062	2.229 (1.041–4.773)	0.039	2.412 (1.050–5.542)	0.037
HBeAg	Positive versus negative	0.716 (0.250–2.047)	0.533				
HBV DNA, IU/mL	>2,000 versus ≤2,000	0.918 (0.445–1.893)	0.819				
NUCs experienced	Yes versus no	0.974 (0.463–2.047)	0.944				
AST, U/L	>40 versus ≤40	1.971 (0.995–3.905)	0.052	1.780 (0.813–3.898)	0.149		
ALT, U/L	>40 versus ≤40	1.503 (0.759–2.978)	0.242				
Platelet, 10 <sup>9</sup> /L	<150 versus ≥150	1.134 (0.572–2.247)	0.720				
AFP, ng/mL	>20 versus ≤20	0.953 (0.480–1.892)	0.890				
Albumin, mg/dL	<3.5 versus ≥3.5	0.523 (0.125–2.191)	0.375				
Child-Pugh class	B versus A	1.049 (0.201–10.419)	0.732				
ALBI grade	II/III versus I	1.052 (0.489–2.265)	0.897				
Liver cirrhosis	Yes versus no	1.981 (0.492–4.163)	0.071	1.230 (0.546–2.768)	0.617		
BCLC stage	A versus 0	2.823 (0.992–8.035)	0.052	1.974 (0.618–6.302)	0.251		
Tumor no.	Multiple versus single	0.885 (0.340–2.302)	0.803				
Tumor size, cm	Per 1-cm increase	1.305 (0.961–1.773)	0.088	1.035 (0.722–1.485)	0.850		
Histological stages	Poor/moderate versus well	0.559 (0.196–1.593)	0.276				
Microvascular invasion	Yes versus no	0.831 (0.385–1.790)	0.636				
Capsule invasion	Yes versus no	1.145 (0.349–3.755)	0.824				
Satellite nodules	Yes versus no	1.998 (0.477–8.371)	0.344				
Statin use, cDDD	>90 versus ≤90	0.355 (0.049–2.603)	0.309				
Aspirin use, cDDD	>90 versus ≤90	0.984 (0.235–4.117)	0.983				
Types of NUCs	ETV versus TDF	2.684 (1.163–6.190)	0.021	2.792 (1.181–6.599)	0.019	4.705 (1.763–12.558)	0.002

HR, hazard ratio; CI, confidence interval; BMI, body mass index; ETV, entecavir; TDF, tenofovir disoproxil fumarate; cDDD, cumulative defined daily doses; AST, aspartate aminotransferase; ALT, alanine aminotransferase; AFP, alpha-fetoprotein; ALBI, albumin-bilirubin; HCC, hepatocellular carcinoma; HBeAg, hepatitis B e antigen; NUCs, nucleos(t)ide analogs; HBV, hepatitis B virus; BCLC, Barcelona Clinic Liver Cancer. <sup>a</sup>Multivariate 1: multivariable analysis based on potential risk factors ( $p < 0.1$  in the univariate Cox model). <sup>b</sup>Multivariate 2: multivariable analysis with variables ( $p < 0.1$  in the univariate Cox model) adjustment based on the stepwise selection.

(HR, 2.548; 95% CI, 1.382–4.697,  $p = 0.003$ ), presence of liver cirrhosis (HR, 1.956; 95% CI, 1.079–3.546,  $p = 0.027$ ), and presence of satellite nodules (HR, 2.846; 95% CI, 0.999–8.111,  $p = 0.050$ ) in the NUC-naïve cohort after surgery (online suppl. Table 2).

#### On-Therapy Response after ETV or TDF Treatment

Patients treated with ETV and patients treated with TDF had similar rates of on-therapy biochemical and virological responses at 1 or 2 years after resection (Table 6). The probability of reaching undetectable HBV DNA was not significantly different between the ETV- and TDF-treated patients at 1 year (81.4% vs. 85.0%,  $p = 0.553$ ) or 2 years (82.0% vs. 85.2%,  $p = 0.615$ ) after resection. We also evaluated the on-therapy factors associated

with HCC recurrence. Both univariate and multivariate Cox models indicated that ALT normalization, albumin, and undetectable HBV DNA at 1 year or 2 years after surgery were not significant prognostic factors for HCC recurrence. Therefore, we conclude that issue on the onset of antivirals did not impact the recurrence of HCC after resection in this study.

#### Discussion

This multicenter, PS-matched cohort study explored the comparative risk reduction effects of ETV and TDF on HCC recurrence, mortality, and LT in HBV-related early-stage HCC after curative hepatectomy. TDF was as-

**Table 5.** Prognostic factors associated with overall mortality/liver transplantation

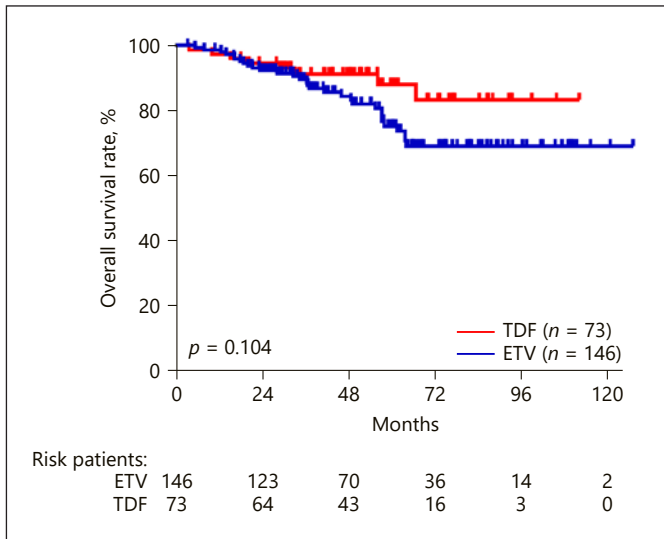
Variable	Comparison	Univariate		Multivariate 1 <sup>a</sup>		Multivariate 2 <sup>b</sup>	
		HR (95%CI)	<i>p</i> value	HR (95%CI)	<i>p</i> value	HR (95%CI)	<i>p</i> value
Age, years	Per 1 increase	1.004 (0.970–1.030)	0.974				
Sex	Male versus female	0.895 (0.349–2.293)	0.817				
BMI, kg/m <sup>2</sup>	>30 versus ≤30	1.803 (0.891–3.649)	0.101				
Diabetes mellitus	Yes versus no	1.635 (0.683–3.911)	0.269				
Alcohol drinking	Current versus never/past	1.876 (1.086–3.241)	0.024	1.055 (0.511–2.180)	0.884	1.808 (1.035–3.158)	0.037
Smoking	Current versus never/past	1.729 (1.045–2.861)	0.033	1.214 (0.442–3.330)	0.707		
HCC family history	Yes versus no	1.511 (0.715–3.914)	0.280				
HBeAg	Positive versus negative	1.320 (0.603–2.889)	0.488				
HBV DNA, IU/mL	>2,000 versus ≤2,000	1.439 (0.753–2.747)	0.270				
NUCs experienced	Yes versus no	0.469 (0.194–1.138)	0.094	0.489 (0.180–1.329)	0.161		
AST, U/L	>40 versus ≤40	1.291 (0.673–2.478)	0.442				
ALT, U/L	>40 versus ≤40	1.181 (0.623–2.240)	0.610				
Platelet, 10 <sup>9</sup> /L	<150 versus ≥150	1.902 (0.983–3.682)	0.056				
AFP, ng/mL	>20 versus ≤20	1.173 (0.620–2.218)	0.624				
Albumin, mg/dL	<3.5 versus ≥3.5	1.122 (0.397–3.171)	0.828				
Child-Pugh class	B versus A	3.392 (0.462–24.923)	0.230				
ALBI grade	II/III versus I	1.141 (0.539–2.411)	0.731				
Liver cirrhosis	Yes versus no	4.673 (1.824–11.972)	0.001	3.476 (1.195–10.112)	0.022	4.275 (1.659–11.014)	0.003
BCLC stage	A versus 0	2.718 (1.296–5.703)	0.008	2.098 (0.549–8.010)	0.278	3.431 (1.053–11.180)	0.041
Tumor number	Multiple versus single	1.078 (0.547–2.125)	0.828				
Tumor size, cm	Per 1 increase	1.436 (1.096–1.882)	0.009	1.188 (0.837–1.686)	0.336		
Histological stages	Poor/moderate versus well	1.689 (0.406–7.028)	0.471				
Microvascular invasion	Yes versus no	2.123 (1.121–4.022)	0.021	1.745 (0.827–3.683)	0.144		
Capsule invasion	Yes versus no	1.557 (0.685–3.536)	0.290				
Satellite nodules	Yes versus no	3.217 (1.138–9.091)	0.028	5.960 (1.896–18.738)	0.002		
Statin use, cDDD	>90 versus ≤90	0.911 (0.219–3.783)	0.898				
Aspirin use, cDDD	>90 versus ≤90	0.527 (0.072–3.844)	0.528				
Types of NUCs	ETV versus TDF	1.894 (0.868–4.136)	0.109	2.245 (0.924–5.457)	0.074		

HR, hazard ratio; CI, confidence interval; BMI, body mass index; ETV, entecavir; TDF, tenofovir disoproxil fumarate; cDDD, cumulative defined daily doses; AST, aspartate aminotransferase; ALT, alanine aminotransferase; AFP, alpha-fetoprotein; ALBI, albumin-bilirubin; HCC, hepatocellular carcinoma; HBeAg, hepatitis B e antigen; NUCs, nucleos(t)ide analogs; HBV, hepatitis B virus; BCLC, Barcelona Clinic Liver Cancer. <sup>a</sup> Multivariate 1: multivariable analysis based on potential risk factors ( $p < 0.1$  in the univariate Cox model). <sup>b</sup> Multivariate 2: multivariable analysis with variables ( $p < 0.1$  in the univariate Cox model) adjustment based on the stepwise selection.

sociated with a significantly lower rate of HCC recurrence than ETV. Furthermore, we found that the difference between 2 agents was on the late recurrence, not on the early recurrence. To the best of our knowledge, this is the first study to indicate that TDF is specifically associated with late recurrence in patients with HCC after resection.

The results of this study were similar but not exactly the same with a recently published article from Korea [19]. Choi et al. [19] enrolled the largest cohort of patients with HBV-related BCLC stage 0 or A HCC receiving curative hepatectomy, which included 813 patients treated with ETV and 882 patients treated with TDF. Their study found that TDF was an independent protective factor for both early and late tumor recurrence in HCC. However,

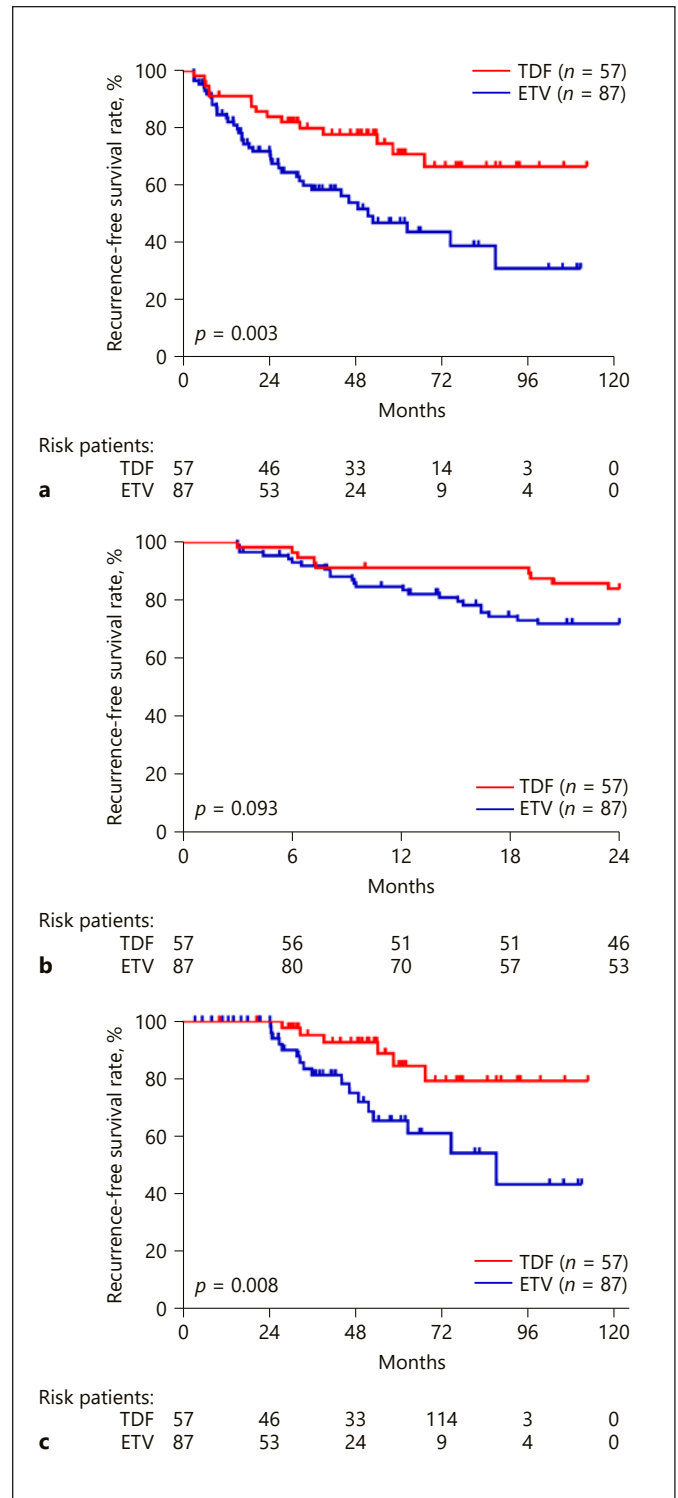
this result was unexpected, especially in the context of early recurrence. Early tumor recurrence (within 2 years after surgery) is generally accepted to be associated with primary tumor-related factors, including the presence of vascular or capsule invasion, satellite nodules, and poor histological grade. In contrast, late recurrence (>2 years after surgery) is proposed to be the result of de novo recurrence, which has been associated with the remaining liver and closely linked to the “field effect,” including viral loads, inflammatory activity, and the degree of fibrosis [4, 28]. Thus, the existing evidence supports the suggestion that antiviral therapies exert a tertiary preventative effect in HCC, especially for late tumor recurrence. In other words, antiviral therapies have a relatively low effect on



**Fig. 3.** OS after curative resection in patients with HBV-related HCC under ETV or TDF treatment. HBV, hepatitis B virus; HCC, hepatocellular carcinoma; ETV, entecavir; TDF, tenofovir disoproxil fumarate; OS, overall survival.

the prevention of early HCC recurrence. However, in the study by Choi et al., it is notable that the RFS rates of the TDF and ETV groups started to diverge at 1 year after surgery, and that means only 1 year of TDF treatment led to a 21% greater reduction (HR = 0.79) in early HCC recurrence (i.e., in the second year) than ETV. Although the rapid decrease on recurrence resulting in a significant lower early recurrence in the TDF treatment than ETV treatment was first observed in that study, some potential factors, such as chemopreventive agents (statin, aspirin, etc.), and viral mutations, which have been reported to associate with HCC recurrence, were not analyzed. To overcome this discrepancy, prospective randomized clinical trials are needed to directly compare the effect of ETV and TDF on tumor recurrence in HCC. However, such studies are unlikely to be conducted in the near future. Therefore, our observational study has a considerable scientific value.

Another study recently published from Taiwan showed no significant differences in early and late tumor recurrence and OS between patients with HCC treated with ETV and TDF [29]. However, that study enrolled a relatively small number of patients treated with TDF ( $n = 42$ ), and only 3 quarters of the patients had BCLC stage 0 or A (256/339). Notably, TDF was associated with a lower rate of late recurrence than ETV, although the difference was not significant (HR = 0.324, 95% CI, 0.079–1.321,  $p =$



**Fig. 4.** Recurrence-free survival of the propensity score-matched cohort of patients who were naïve treated with either ETV or TDF after curative resection for HBV-related HCC. The overall (a) for early RFS (b) for late RFS (c). ETV, entecavir; TDF, tenofovir disoproxil fumarate; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; RFS, recurrence-free survival.

**Table 6.** Comparison of on-therapy response among patients with CHB-related early-stage HCC who were treated with either ETV or TDF after curative resection

	ETV (n = 146)	TDF (n = 73)	p value
At year 1			
AST <40 U/L	111/136 (81.6)	53/69 (76.8)	0.416
ALT <40 U/L	107/137 (78.1)	51/71 (71.8)	0.316
Total bilirubin, mg/dL	0.9±0.5	0.9±0.3	0.480
Platelet, <150 × 10 <sup>3</sup> /μL	56/105 (53.5)	18/40 (45.0)	0.370
Albumin, g/dL	4.4±0.4	4.4±0.5	0.480
eGFR, mL/min/1.73 m <sup>2</sup>	87.9±25.8	83.1±22.8	0.191
HBV DNA undetectable	92/113 (81.4)	51/60 (85.0)	0.553
At year 2			
AST <40 U/L	99/119 (83.2)	50/62 (80.6)	0.670
ALT <40 U/L	92/120 (76.7)	49/64 (76.6)	0.987
Total bilirubin, mg/dL	0.9±0.4	0.9±0.3	0.799
Platelet, <150 × 10 <sup>3</sup> /μL	50/90 (55.6)	15/35 (42.9)	0.202
Albumin, g/dL	4.4±0.3	4.3±0.5	0.490
eGFR, mL/min/1.73 m <sup>2</sup>	83.3±22.7	80.2±25.7	0.409
HBV DNA undetectable	82/100 (82.0)	46/54 (85.2)	0.553

Data are expressed as mean ± standard deviation or n/N (%). ETV, entecavir; TDF, tenofovir disoproxil fumarate; AST, aspartate aminotransferase; ALT, alanine aminotransferase; eGFR, estimated glomerular filtration rate; HBV, hepatitis B virus.

0.116). This may be due to the small number of patients, and we propose that the difference may have been significant if more patients had been included in the TDF group.

In previous comparisons of ETV and TDF in treatment-naïve patients with CHB or patients with HBV-related HCC, the patients treated with ETV tended to be older and have more advanced diseases, a larger burden of comorbidities, and a longer follow-up duration than the TDF-treated patients, probably reflecting “patient warehousing.” Severely ill patients were likely to have received ETV when it was first approved, whereas healthier patients were eligible for TDF treatment when it subsequently became available [30]. In addition, TDF may have been avoided in elderly patients due to concerns about renal dysfunction and decreased bone density. These biases are difficult to overcome, especially in real-world clinical practice. Therefore, most studies observed a higher incidence of HCC development in the ETV-treated cohorts before adjusted analysis, whereas no significant difference was observed after PSM. However, in the present study, most baseline characteristics were similar between the ETV and TDF groups, except for NUC treatment before HCC development and the presence of satellite nodules. This might be explained by the fact that patients with BCLC stage 0 or A HCC who are eligible to receive cura-

tive resection have a better renal function than those without HCC and eligible for NUC treatment,  $95.4 \pm 25.0$  mL/min/1.73 m<sup>2</sup> in the present study versus  $87.7 \pm 27.0$  mL/min/1.73 m<sup>2</sup> in our recent published study [18]; thus, physicians may not have a strong preference for either ETV or TDF treatment in these patients. Even so, we still employed PSM to account for the nonrandom assignment of the patients. Thus, our analysis in the context of low selection bias for ETV and TDF and adjustment for well-known risk factors for HCC recurrence, especially the duration of follow-up time between ETV and TDF, which might be the greatest impact on the difference of HCC occurrence in many meta-analyses [31], suggests that TDF leads to a lower rate of late tumor recurrence in HCC than ETV.

Another issue is whether NUC experience (treated with NUCs before HCC diagnosis) and NUC naïve (no treatment before HCC) affect recurrence in HCC. In the present study, 74 (33.8%) and 145 (66.2%) patients were NUC-experienced and -naïve, respectively. We found no significant difference in the incidence of HCC recurrence between NUC-experienced and NUC-naïve patients (online suppl. Fig. 2). We further excluded the NUC-experienced patients to only compare the ETV- and TDF-naïve treatment groups after HCC curative resection, to more realistically assess the effects of ETV and TDF on HCC

recurrence. Similar to the entire cohort analysis, TDF treatment was associated with better RFS than ETV treatment (HR, 2.548; 95% CI: 1.382–4.697,  $p = 0.003$ ; online suppl. Table 2). Furthermore, in the subgroup of NUC-experienced patients ( $n = 74$ ), there was no significant difference in RFS between the ETV- and TDF-experienced groups (online suppl. Fig. 3), which may be due to the low numbers of patients in the TDF group ( $n = 15$ ). It is worth noting that the duration of NUC treatment before HCC diagnosis was not associated with HCC recurrence, which implies that a longer duration of treatment before HCC diagnosis does not decrease the risk of HCC recurrence.

Although previous studies demonstrated that ETV and TDF are similarly effective in terms of HBV DNA suppression [32], other studies found that TDF was superior to ETV in patients with CHB with a high viral load [33]. This raises the question of whether the significant difference in HCC recurrence between ETV and TDF treatment was due to varied HBV DNA suppression. In the present study, there were no significant differences in the virological response rates between the ETV and TDF groups at 1 and 2 years after surgery, which indicates that the differences in HCC recurrence between patients treated with ETV and TDF are not due to altered HBV DNA suppression. Although the TDF group had a higher HBV DNA undetectable rate than the ETV group in the high viral load subgroup (HBV DNA  $\geq 6 \log_{10}$  IU/mL), inadequate numbers of patients were followed up (only 21 and 8 patients with high HBV DNA in the ETV and TDF groups, respectively) to allow meaningful interpretations.

Debate still remains on whether ETV or TDF is more effective to reduce the risk of HCC among patients with CHB. In terms of secondary prevention, it is well recognized that HCC has a low rate of occurrence rate under first-line NUCs (ETV or TDF); thus, assessment of a large cohort with an adequate and similar follow-up periods is necessary to elucidate the difference of effect of both NUCs. Actually, there is a lack of study to fully fit the criteria. There were always retrospective studies with non-cirrhotic patients (low incidence rate of HCC), less TDF patients, and shorter follow-up periods of TDF in those studies. In contrast to secondary prevention, tertiary prevention is mainly administered to patients who have a high relative risk for HCC recurrence after surgical resection. Hence, we believe that it is easier to compare the difference of the effects from ETV and TDF under a higher incidence rate of events in this tertiary prevention study. In addition, the equivalent follow-up periods between

TDF and ETV groups would further minimize the bias, which existed in most of the secondary studies.

However, we cannot fully explain the mechanisms that underlie the potentially differential effects of ETV and TDF on HCC recurrence observed in the current study. Recent studies found some factors that may possibly explain these differences, including the anticancer effects of IFN- $\lambda 3$  induced by TDF [34], the association of ETV with carcinogenic chromosomal aberrations [35], and the higher rate of regression of cirrhosis after long-term TDF treatment than ETV treatment (74% vs. 62%) [15]. Recently, quantification of HBsAg and hepatitis B core-related antigen (HBcrAg) has emerged as surrogate markers for evaluating covalently closed circular DNA [36], the unique template for pregenomic RNA transcription, and viral genome replication. Higher HBsAg and HBcrAg levels may suggest more active viral replication and hence a higher risk of HCC [37, 38]. However, HBsAg and HBcrAg were not routinely quantified by the clinicians in this retrospective, multicenter study. Hence, we could not compare HBsAg and HBcrAg between HCC patients treated with ETV or TDF in the present study. However, in our recently published studies, we used HBsAg and HBcrAg to predict HBV relapse after ETV or TDF cessation [39, 40]. Combining the data from both studies, 486 patients with CHB were treated with ETV ( $n = 301$ ) or TDF ( $n = 185$ ), with a median treatment duration of 157 months. We found that TDF had a higher potency in reducing HBcrAg than ETV (online suppl. Fig. 4). This result is not consistent with a recent study from Hong Kong [41], in which the magnitude of reduction of HBcrAg did not differ significantly between the ETV and TDF-treated groups after 2 years' treatment. However, the reduction in HBcrAg was numerically greater in the TDF-treated group. We believe that the long-term follow-up of serum HBcrAg could help to explain the differences between ETV and TDF observed in this study. Thus, further prospective, longitudinal studies that measure HBsAg and HBcrAg before HCC resection and annually are needed to confirm whether HBsAg and HBcrAg explain the differences in HCC recurrence between patients treated with ETV and TDF.

The anticancer effect of statins in HCC has been explored in vitro and in animal studies [42, 43]. Many studies have recently revealed that statins exert protective effects in HCC and reduce HCC-related mortality [44, 45]. In this study, we also observed that statin use was associated with a lower incidence of tumor recurrence in patients with HBV-related HCC after curative resection (HR, 0.236), although not significant in the multivariate

analysis. This result is similar with our recently published study [46] and a meta-analysis [47], which showed that statin use was associated with reduced tumor recurrence in the HCC patients after curative resection. However, the proportions of patients taking statins were relatively small and all of the studies were retrospective; thus, numerous confounding factors could not be totally adjusted for. Thus, large, randomized trials of suitable regimens in well-selected patients treated using standard approaches are warranted to confirm the value of statins in HCC recurrence.

Another intriguing finding is that cigarette smoking is an independent risk factor for late recurrence in our HBV-related HCC cohort after resection with ETV or TDF treatment. Over 40 tobacco-related active compounds of cigarette smoke are metabolized and activated as carcinogens in the liver. Several studies reported the negative impacts of cigarette smoking and poor prognoses [48, 49]. Furthermore, after surgery, continued smoking might be strongly correlated with tumor recurrence and compromised survival of HBV-related HCC patients [50]. Although there was no analysis about early or late recurrence in the study by Zhang et al. [50], we can see that the RFS among nonsmokers, ex-smokers, and current smokers started to deviate from 2 years after resection, which is compatible with our results.

Although our results are similar to those of a recently published article by Choi et al. [19], which enrolled the largest number of HCC patients to date, the present study has several strengths when compared to Choi's study. First, many factors including tumor burden, grade of differentiation, microvascular invasion, serum AFP, cirrhosis status, and HBV viral load are known to affect HCC recurrence. To minimize these confounding factors, we adopted strict inclusion criteria in the present study. For example, the modified BCLC stage, which excludes large single tumors (>5 cm) from stage A, was adopted to minimize the tumor burden effect. Furthermore, we recorded more potential risk factors, including alcohol consumption, smoking history, and the use of aspirin and statins in the analysis of the present study. Actually, these factors were not mentioned in the study by Choi et al. Second, we performed a comparison of on-therapy virological response at 1 or 2 years after surgery, which was not mentioned in the study by Choi et al. Third, as both studies were retrospective, heterogeneity must exist at the time of ETV or TDF initiation. To overcome this issue, we performed the subgroup analysis of NUC treatment-naïve patients after surgery. The results shows that the TDF group still had better RFS than the ETV group, which fur-

ther supports our conclusion consistently. The analysis was not performed by Choi et al. Finally, we manually reviewed the medical records for each patient and checked their vital status using the Cancers Screening and Tracing Information Integrated System of Taiwan (<https://hosplab.hpa.gov.tw/CSTIIS/index.aspx>). Thus, we could determine the exact status of every single patient enrolled in this study.

There are some potential limitations to this study. First, this was not a prospective study, and we could only collect data retrospectively from medical records. Despite employing PSM and multivariable analysis, not all confounding factors, such as HBV genotypes, gene mutations, HBsAg, and HBcrAg, which are associated with HCC development and recurrence [51–53], could be completely adjusted for analysis. Although unmeasured confounders may exist, we believe that the methodology used in the present study is solid and robust. Second, the number of patients in the TDF group was relatively low compared to the ETV group; however, the ratio of cases between ETV and TDF groups is comparable to the study from Taiwan [29] and reflects the real-world situation. Enrollment of larger number of patients in the TDF group is necessary in future studies. Finally, all of our patients were from Taiwan, where most patients with CHB are infected with genotype B or C hepatitis by vertical transmission. However, whether the effects discovered in this study could be generalized into Caucasian populations, where most patients are infected with genotype A or D by horizontal transmission, remain unclear. A validation cohort is necessary to confirm our major findings.

## Conclusion

This study has demonstrated that TDF therapy is associated with a significantly lower risk of HCC recurrence, especially of late recurrence, than ETV therapy among patients who undergo curative hepatectomy for HBV-related early-stage HCC.

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## Statement of Ethics

The clinical data were acquired with the approval and permission of the Institutional Review Board of the Kaohsiung Chang Gung Memorial Hospital. The study protocol was approved by the Institutional Review Board of the Kaohsiung Chang Gung Memorial Hospital. The written informed consent was waived according to the Institutional Review Board due to the retrospective design of the study with no relevant to human biological ethic problems.

## Conflict of Interest Statement

The authors declare no conflicts of interest that pertain to this work.

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## Author Contributions

Ming-Chao Tsai and Tsung-Hui Hu were involved in conception and design; Ming-Chao Tsai contributed to the writing of the manuscript; Chih-Chi Wang, Wei-Chen Lee, Chih-Che Lin, Kuo-Chin Chang, Ming-Tsung Lin, and Chao-Long Chen performed collection and assembly of data; and Chien-Hung Chen, Chao-Hung Hung, Chang-Chun Hsiao, and Rong-Nan Chien performed data analysis or interpretation.

## Data Availability Statement

All analyzed data are included in this published article. The original data are available upon reasonable request to the corresponding author.

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