

Neurogenesis, Cellular Plasticity and Cognition: The Impact of Stem Cells in the Adult and Aging Brain – A Mini-Review

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Key Words

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Abstract

The hippocampus is a structure equipped with a high degree of flexibility and adaptation. In contrast to most structures of the adult central nervous system, the hippocampus can rely on a form of plasticity known as neurogenesis. The continuous provision of new neurons derived from resident adult neural stem cells appears to facilitate the execution of hippocampal-dependent tasks since reduction or blockage of neurogenesis is associated with cognitive impairments. Importantly, however, although hippocampal neurogenesis is maintained all throughout life, its levels decrease steadily along with aging. Notwithstanding some evidence that in age-matched animals neurogenesis levels and learning performance are tightly associated, these two parameters do not appear to be directly coupled when comparing individuals of various age groups. Additional components, and in particular experience, appear to play a fundamental roles in hippocampal functions. In this review, we speculate on the impact of neurogenesis level modulation on cognitive performances, putting in perspective recent studies made in the aging human population and in rodent models of aging.

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Neurogenesis in the Adult Brain

Detection of adult neurogenesis in the human central nervous system (CNS) was received with much excitement [1]. It was predicted that in the near future neural stem cells could be the basis for cell replacement and regenerative therapies for the diseased brain or for improvement of cognitive function during aging. However, several issues remain untouched, in particular questions regarding the nature and physiological function of these stem cells. Moreover, their maintenance, relevance and functions in the course of aging still raise questions.

Although the presence of adult neural stem cells has been suggested throughout the mammalian CNS, their identity *in vivo* remains to be deciphered. There are some major obstacles to their identification, i.e. the lack of univocal markers specific for stem cells and the quiescent state in which stem cells remain most of the time. In rodents and humans, however, neural stem cells are constitutively active in two specific regions of the adult CNS, namely the subgranular lining of the dentate gyrus and the subventricular regions of the lateral ventricles (fig. 1). Under physiological conditions, stem cells proliferate in these two regions to give rise to progenitors, which differentiate predominantly into new neurons [2]. Nevertheless, we and others have demonstrated that these multipotent cells could, in addition to neurons, generate astrocytes and oligodendrocytes as well [3].

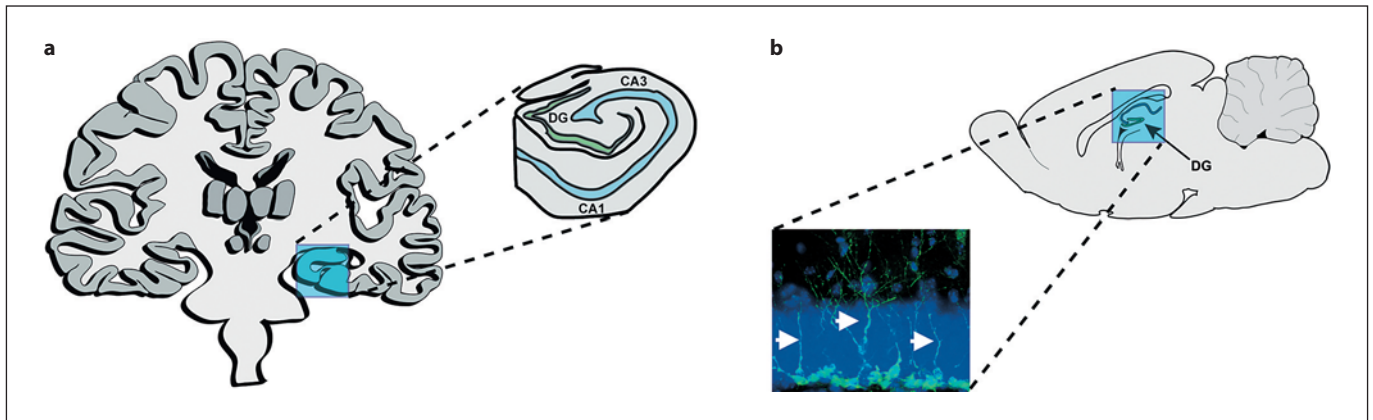


Fig. 1. Localization of the hippocampus in the human brain (a) and the rodent brain (b). Inset in a shows the hippocampal subregions: the dentate gyrus granule cell layer (DG) and the pyramidal cell layer from CA1 to CA3. Inset in b shows immunohistological detection of newly generated neurons (arrows pointing to DCX-expressing cells) in the granule cell layer (nuclei counterstained with DAPI).

Neurogenesis is a process modulated by several molecular and physiological factors. For example, specific molecules such as the growth factor VEGF can promote neurogenesis [4]. Similarly, intensification of voluntary physical activity and exposition to a particularly rich environment have been shown to significantly stimulate neurogenesis [5, 6]. On the other side, dramatic decline of neurogenesis rates occurs throughout aging or can be induced by intense stress [7–9]. As the function of adult neurogenesis has yet to be deciphered, one can only speculate on the impact of neurogenesis modulations.

It is noteworthy to point out that structures in which new neurons integrate are also active sites of neuronal apoptosis [10]. At the origin of these apoptotic profiles is the fact that only a fraction of newly generated neurons integrate and survive [11]. In addition, resident neurons can also undergo apoptosis and be replaced by the new ones, thereby giving rise to a continuous neuronal turnover in neurogenic regions. Hence, the balance between addition and removal under physiological conditions results in a constant number of neurons within the dentate gyrus [12].

The fact that physiological neurogenesis takes place in the adult hippocampus, probably one of the most investigated regions in the brain, represents a significant advantage for understanding the function and relevance of neuronal precursors. Moreover, it facilitates the development of interventions relying on neural stem cells and neuronal precursors to improve the functional performance. In contrast, in order to integrate neuronal precursors into most other structures of the brain, grafting of

exogenous material would be required. Grafting of stem and precursor cells is associated with serious safety issues such as the risk of rejection, tumor formation, epileptic focus formation, etc.

Function of Adult Hippocampal Neurogenesis

The hippocampus is a crucial structure for cognition and emotions. The prime importance of these functions in humans may explain why most efforts have been deployed in understanding the function of hippocampal neurogenesis. In the hippocampus, the dentate gyrus constitutes a bottleneck for the entry and further processing of information. A prevailing assumption in the field of adult neurogenesis is that the continuous generation of new neurons within the hippocampus offers, in addition to synaptic plasticity, a cellular flexibility to the system. This supplementary adaption capacity could be especially crucial for a structure involved in memory and learning. Indeed, newly generated neurons are particularly excitable and can therefore be more sensitive to incoming input [7, 13]. Nevertheless, the hypothesis that ‘more is better’ in respect to neurogenesis has been challenged by recent reports and therefore should not be considered an established fact [14, 15].

A definitive demonstration that newly generated neurons are essential for learning is still awaiting. Nevertheless, the bulk of studies support the concept that young neurons contribute and facilitate hippocampal-dependent task learning (e.g. [16–18]). This assistance could be

mediated, for example, through the lower threshold required for the induction of long-term potentiation in newly generated neurons [19]. We and others have demonstrated that newly generated neurons are integrated very rapidly in the hippocampal network and that they can trigger action potentials upon stimuli that were 10 times weaker than those required to stimulate mature granule cells [7, 13]. Hence, the presence of numerous immature neurons in the hippocampal network might provide a higher sensitivity to incoming inputs, thereby facilitating information processing.

Various approaches to obliterate the generation of new neurons, such as low-dose irradiations or cytostatic/cytotoxic drugs, have led to cognitive impairments. However, these types of experiments are rather crude in their repercussions and do not exclusively target new neurons [20–22]. Further evidence comes from the modulation of neurogenesis through physiological factors (physical activity, stress, aging) or through transgenic approaches, demonstrating that hippocampal-dependent learning is facilitated by the presence of young neurons [23–26].

Interestingly, a few animal models have been presented in which adult hippocampal neurogenesis appears to be absent or occur at a very low rate. For instance, a mouse bearing a targeted deletion of the cyclin D2 seemed to lack adult neurogenesis [27, 28]. In this mouse model, the integration of new mature neurons appears to be abolished by the deletion of the cyclin D2 expression; interestingly, however, few DCX-expressing neuronal precursors, representing approximately 10% of the normal amount, were still being produced. In these neurogenesis-deficient mice, learning and anxiety levels appeared to be virtually indistinguishable from those in their wild-type littermates [27]. One can only speculate about the possibility that the presence of a few remaining neuronal precursors is sufficient to act as facilitators for learning. Nevertheless, the fact that cyclin D2-deficient mice can learn efficiently demonstrates that learning is not dependent on circuitries composed of new neurons.

Two additional models of naturally occurring deficiency in hippocampal neurogenesis are constituted by some species of shrews and bats [29, 30]. Although cell proliferation or the presence of neuronal precursors cannot be detected in the hippocampi of these species, adult neurogenesis is nevertheless taking place in the subventricular regions, providing the olfactory bulbs with newly generated neurons. Despite the absence of hippocampal neurogenesis, these animals must, for example, integrate and memorize new locations of food supplies, which can be, in the case of some bat species, spread over a very

large territory. It is conceivable that the short period of adult neurogenesis which takes place in early adulthood suffices to optimize the hippocampal network for the stereotypic behaviors for the rest of the animal lifespan. Taken together, this evidence indicates that neurogenesis is not a prerequisite for learning; however, a facilitating role of neurogenesis in learning cannot be excluded.

Neurogenesis in the Aged Brain

Aging is associated with a significant decline of neurogenesis. As a consequence, rates of new neuron generation in aged brains represent only a few percentage points measured in young adults [8, 31, 32]. Notably, however, looking at a cohort of normal aged rats, Bizon et al. [15] and Bizon and Gallagher [32] noticed significant inter-individual variation of the learning capacity. Some aged rats (25 months of age) maintained a learning index comparable to that observed in younger animals (7 months of age) even though their neurogenesis rates represented only 15% of the rates observed in the younger rats [15]. Paradoxically, post hoc analysis revealed that aged ‘good learners’ from this study exhibited lower rates of hippocampal neurogenesis as compared to the aged ‘bad learners’. Moreover, no differences in the total number of hippocampal neurons could be observed between aged good and bad learners [12].

On the other hand, it was recently shown that a transient reduction of neurogenesis rates in young mice by approximately 50% had significant consequences on learning, although the remaining neurogenesis levels were still several times higher than those present in aged animals [16]. In addition, since the first report made by Kempermann et al. [5], the promoting effect of an enriched environment on neurogenesis and the associated benefits on learning have been described in a plethora of studies and under various paradigms. Similarly, task learning has also been reported to stimulate neurogenesis [17], and, interestingly, the extent of the neurogenesis increase appeared to correlate with the learning demands [18]. Hence, in the face of increased learning pressure, neurogenesis becomes induced according to the need required. Thus, these observations lead to the following question, ‘Is adult neurogenesis fulfilling the same function in the young *versus* the aged brain?’

A way to reconcile the seemingly discrepant observations is to hypothesize that adult neurogenesis keeps fulfilling the same function throughout life, but the requirements of the system change with aging. It is noteworthy

that although all cellular components of the hippocampus have been set in place shortly after birth, a key element is still missing – ‘experience’. An analogy could be made with the immune system, which gets activated and adapted to modify its cellular composition according to the challenges from the environment. Age-associated transformation in hippocampal connectivity has been suggested based on a detailed morphological characterization of the molecular layer [33].

Hence, despite the fact that the overall thickness of the molecular layer remains constant between groups of adult and aged rats, a significant reduction of the medial molecular layer (projections from the medial entorhinal cortex) to the benefit of the inner molecular layer (commissural/associational inputs arising from hilar neurons) can be described. These volumetric changes cannot be attributed to changes in neuronal or glial cell numbers, but rather reflect synaptic reorganization and a permanent rewiring of the hippocampus [33]. It can be speculated that through the experience-guided gradual optimization of the hippocampal information-processing circuitry, the need for cellular plasticity would proportionally decrease. Nevertheless, neurogenesis should remain inducible in cases where individuals are exposed to new challenges. Induction of hippocampal neurogenesis could be the cellular response to the need of integrating new information and adapting to an unknown environment.

Taken together, these observations suggest that cognitive deficits could be the consequence of an imbalance between acquired/integrated experiences and the levels of neurogenesis. Upon exposure to a stimulus, young individuals with poor experience would require high levels of neurogenesis to optimize the circuitry for the new information to be processed. In contrast, in aged individuals, existing circuitries developed and optimized for similar tasks can be reused and may require only minimal adaptation. Therefore, within a cohort of young individuals, those with the highest level of neurogenesis would have the highest capacity to adapt their hippocampal circuitry and would have an advantage in acquisition. Such an observation has indeed been reported following an increase or decrease of neurogenesis levels in young mice [16, 34]. On the other side, cognitive function in aged animals can still be intact although the remaining levels of neurogenesis are only few percent of those seen in the young ones [15, 31, 32]. Therefore, although neurogenesis rates appear crucial in learning performance when comparing animals within the same age group (horizontal), additional components are at least as important in the cognitive performance when comparing animals of various age groups (vertical).

Paradoxically, high levels of neurogenesis in aged individuals relative to their age-matched peers may be interpreted in two diametrically opposite ways. On one side, an aged individual may have induced the remaining neurogenic resources more efficiently than his/her peers, resulting in better learning. On the other side, steady high levels of neurogenesis may reflect a life-long failure of the hippocampus to become optimized for incoming inputs and to acquire experience. In this situation, high neurogenesis levels in an aged individual could be associated with a decreased learning performance. Studies supporting these two possibilities have been reported using aged mouse and rat models involved in learning paradigms (e.g. [15, 18, 35]). However, the regulation of neurogenesis during the learning tests has been shown to be complex, involving enhanced or decreased survival of neuronal precursors depending on their maturation stages [18]. The latter, as well as age, sex, strain and species differences, in animals used in published studies complicate comparison and interpretation of the results.

Neurogenesis and Aging in the Human Brain

Extrapolating observations made in rodent models to the human is always hazardous. Nevertheless, it has been convincingly demonstrated that neurogenesis is also present in the human dentate gyrus [1] and that neurogenesis levels decrease with age. Prevention or slowing down of cognitive decline is of paramount importance for successful aging. Therefore, analysis of functional relevance of neurogenesis in the cognitive performances of the aging brain becomes inevitable. It is noteworthy that stronger challenge of the cognitive resources in young individuals appears to protect them against cognitive decline during aging, and reciprocally lower educational attainment was consistently associated with higher risks for cognitive decline and dementia in numerous studies (e.g. [36]). Several mechanisms may contribute to this observation. For instance, education may generate a detection bias where ceiling effects on cognitive tests prevent those with higher education from meeting diagnostic thresholds despite cognitive decline. In addition, education is a surrogate for early-life factors including nutrition, socioeconomic status and intelligence, therefore influencing risk factors in later life such as occupation, occupational exposures and health habits.

Similar to rodents, reorganization of the circuits recruited for memory processing also appears to take place in the aged human brain. This could be demonstrated by

fMRI comparing a group composed of 20-year-old individuals to a group of 60-year-old individuals, although these two groups had an equivalent cognitive performance [37]. During memory processing, fMRI in the aged group revealed a relative decreased activation of the medial temporal areas, whereas an increase could be observed in the ventral and prefrontal cortex, as well as in some additional frontal and parietal regions [37, 38]. One could speculate that association with previously acquired experiences could be involved in the performance of current memory tasks in order to facilitate execution or compensate for some deficits.

More evidence that the young and aged brains react differently to the same stimulus has been seen in the analysis of antidepressant action on the neurogenesis in various groups of age. Hence, although young mice already bear high levels of neurogenesis, chronic administration of fluoxetine (an antidepressant of the SSRI family) was able to increase the generation of new neurons by as much as 75% [8]. On the other side, in aged animals and patients, chronic treatment with antidepressants did not appear to increase neurogenesis, although they are known to be clinically beneficial [8, 39]. Hence, would an increase of neurogenesis levels in aged individuals facilitate their cognitive performance, or would the aged brain be unable to integrate this extra resource due to its extensive processing reorganization?

Interestingly, several studies substantiate the hypothesis that an 'enriched environment' is also beneficial for the cognitive performance of the elderly. Thus, the level of engagement with the environment, including cognitive, physical and social activities, has been suggested to influence cognitive health in old age. Moreover, a moderate reduction of the risks of cognitive impairments and dementia was reported in aged individuals assigned to physical or cognitive intervention programs [40, 41]. Besides benefits on cardiovascular risk factors, underlying mechanisms influencing the cognitive status may include effects on neurogenesis, dendritic sprouting and electrophysiological properties.

Furthermore, participation in cognitively stimulating activities in earlier life has also been shown to be of benefit against cognitive deficits and dementia in aging. For example, more intensive leisure-time physical activity in midlife was associated with a reduced risk of dementia [42, 43], whereas pure occupational physical activity, which is often associated with low intellectual demand, or leisure activities with low cognitive demand, such as watching television, failed to show this protective effect or could even be detrimental [44, 45].

In conclusion, recent reports addressing the functional relevance of neurogenesis in the hippocampus revealed that the addition of new neurons constitutes a unique form of plasticity facilitating the performance of hippocampal-dependent tasks and the optimization of hippocampal processing circuitries. In addition, the level of neurogenesis required for the proper accomplishment of a specific cognitive task appears to be inversely proportional to previous exposure to cognitively demanding challenges. As neurogenesis levels taper off with aging, it ensues that cognitive performance becomes gradually more dependent on gathered experience. Therefore, maintaining a life-long cognitively stimulating environment and physical exercise routine may contribute to a healthy cognitive status in the elderly by assuring a continuous optimization of information processing capacity within the hippocampus and higher levels of ongoing neurogenesis. However, the possibility of reverse causality should always be borne in mind, i.e. low levels of social and cognitive activities may be an early sign of risks for cognitive decline, given that neuropathologies leading to cognitive impairments and dementia appear to begin decades before the symptoms are assigned.

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